

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance			
A. Cash	\$	_____ 7010
B. Securities (at market)	\$	_____ 7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			
	\$	_____ 7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market	\$	_____ 7032
B. Deduct market value of open option contracts granted (sold) on a contract market	\$	(_____) 7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)			
	\$	_____ 7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$	_____ 7045
Less: amount offset by customer owned securities	\$	(_____) 7047
		\$	_____ 7050
6. Amount required to be segregated (add lines 4 and 5)			
		\$	_____ 7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash	\$	_____ 4,969,921 7070
B. Securities representing investments of customers' funds (at market)	\$	_____ 7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	_____ 7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	_____ 7100
B. Securities representing investments of customers' funds (at market)	\$	_____ 7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	_____ 7120
9. Net settlement from (to) derivatives clearing organizations of contract markets			
	\$	_____ 7130
10. Exchange traded options			
A. Value of open long option contracts	\$	_____ 7132
B. Value of open short option contracts	\$	(_____) 7133
11. Net equities with other FCMs			
A. Net liquidating equity	\$	_____ 7140
B. Securities representing investments of customers' funds (at market)	\$	_____ 7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	_____ 7170
12. Segregated funds on hand (describe: _____)			
		\$	_____ 7150
13. Total amount in segregation (add lines 7 through 12)			
		\$	_____ 4,969,921 7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			
		\$	_____ 4,969,921 7190
15. Management Target Amount for Excess funds in segregation			
		\$	_____ 3,000,000 7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			
		\$	_____ 1,969,921 7198

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CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance		
A. Cash	\$	8500
B. Securities (at market)	\$	8510
2. Net unrealized profit (loss) in open cleared swaps	\$	8520
3. Cleared swaps options		
A. Market value of open cleared swaps option contracts purchased	\$	8530
B. Market value of open cleared swaps option contracts granted (sold)	\$ (8540)
4. Net equity (deficit) (add lines 1, 2 and 3)	\$	8550
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount	\$	8560
Less: amount offset by customer owned securities	\$ (8570)
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)	\$	8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	\$	8600
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash	\$	8630
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8650
9. Net settlement from (to) derivatives clearing organizations	\$	8660
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts	\$	8670
B. Value of open cleared swaps short option contracts	\$ (8680)
11. Net equities with other FCMs		
A. Net liquidating equity	\$	8690
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8710
12. Cleared swaps customer funds on hand (describe: _____)	\$	8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	\$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	\$	8730
15. Management target amount for excess funds in cleared swaps segregated accounts	\$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$	8770

Name of Firm: CANTOR FITZGERALD & CO.

As of: 07/31/22

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1. Amount required to be segregated in accordance with 17 CFR 32.6	\$		7200
2. Funds/property in segregated accounts			
A. Cash	\$	7210	
B. Securities (at market value)	\$	7220	
C. Total funds/property in segregated accounts	\$		7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)	\$		7240

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$		7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers			
A. Cash	\$		7315
B. Securities (at market)	\$		7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$		7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade	\$		7335
B. Market value of open contracts granted (sold) on a foreign board of trade	\$		7337
4. Net equity (deficit)(add lines 1, 2, and 3.)	\$		7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	7351	
Less: amount offset by customer owned securities	\$(7352	\$ 7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$		7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$		7360

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FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States \$ 7500
 B. Other banks qualified under 17 CFR. 30.7
 Name(s): 7510 \$ 7520 \$ 7530

2. Securities

A. In safekeeping with banks located in the United States \$ 7540
 B. In safekeeping with other banks designated by 17 CFR. 30.7
 Name(s): 7550 \$ 7560 \$ 7570

3. Equities with registered futures commission merchants

A. Cash \$ 7580
 B. Securities \$ 7590
 C. Unrealized gain (loss) on open futures contracts \$ 7600
 D. Value of long option contracts \$ 7610
 E. Value of short option contracts \$(7615) \$ 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630
 A. Cash \$ 7640
 B. Securities \$ 7650
 C. Amount due to (from) clearing organizations - daily variation \$ 7660
 D. Value of long option contracts \$ 7670
 E. Value of short option contracts \$(7675) \$ 7680

5. Amounts held by members of foreign boards of trade

Name(s): 7690
 A. Cash \$ 7700
 B. Securities \$ 7710
 C. Unrealized gain (loss) on open futures contracts \$ 7720
 D. Value of long option contracts \$ 7730
 E. Value of short option contracts \$(7735) \$ 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750 \$ 7760

7. Segregated funds on hand (describe: _____) \$ 7765

8. Total funds in separate 17 CFR 30.7 accounts \$ 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) \$ 7380

10. Management target amount for excess funds in separate 17 CFR 30.7 accounts \$ 7780

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess \$ 7785