| | May 1, 2017 | May 2, 2017 | May 3, 2017 | May 4, 2017 | May 5, 2017 | | | | |
|--|------------------|------------------|----------------|---------------------------|------------------|--|--|--|--|
| FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS | | | | | | | | | |
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory | | | | | | | | | |
| organization authorized thereunder | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| | | | | | | | | | |
| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | | | | | | | | |
| A. Cash | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| B. Securities (at market) | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 3. Exchange traded options | | | | | | | | | |
| A. Market value of open option contracts purchased on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| B. Market value of open contracts granted (sold) on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 4. Net equity (deficit) (add lines 1. 2. and 3.) | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 5. | \$ - | • | • | | \$ - | | | | |
| Less: amount offset by customer owned securities | \$ - \$ - | | | | \$ - \$ - | | | | |
| 6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5) | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| | | | | | | | | | |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| | | | | | | | | | |
| FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS | | | | | | | | | |
| 1. Cash in banks | | | | | | | | | |
| A. Banks located in the United States | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| B. Other banks qualified under Regulation 30.7 | <u>\$ -</u> \$ - | \$ - \$ - | <u>\$ -</u> \$ | <u>\$ -</u> \$ - <u>-</u> | <u> </u> | | | | |
| 2. Securities | | | | | | | | | |
| A. In safekeeping with banks located in the United States | \$ - | • | T | \$ - : | \$ - . • | | | | |
| B. In safekeeping with other banks qualified under Regulation 30.7 | \$ - \$ - | \$ - \$ - | <u> </u> | <u>\$ -</u> \$ - <u>:</u> | <u> </u> | | | | |
| 3. Equities with registered futures commission merchants | | | | | | | | | |
| A. Cash | \$ - | * | * | \$ - : | \$ - | | | | |
| B. Securities | \$ - | * | Y | \$ - | \$ - | | | | |
| C. Unrealized gain (loss) on open futures contracts | \$ - | • | • | \$ - : | \$ - | | | | |
| D. Value of long option contracts | \$ - | • | \$ - | \$ - | \$ - | | | | |
| E. Value on short option contracts | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - | <u>\$ -</u> \$ | <u> </u> | <u>\$ -</u> \$ - | | | | |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | | | | | | |
| A. Cash | \$ - | * | * | • | \$ - | | | | |
| B. Securities | \$ - | • | • | \$ - | \$ - | | | | |
| C. Amount due to (from) clearing organizations - daily variation | \$ - | • | * | \$ - | \$ - | | | | |
| D. Value of long option contracts | \$ - | • | • | • | \$ - | | | | |
| E. Value on short option contracts | <u>\$ -</u> \$ - | \$ - \$ - | <u>\$ -</u> \$ | <u>\$ -</u> \$ - <u>-</u> | <u>\$ -</u> \$ - | | | | |
| 5. Amounts held by members of foreign boards of trade | | | | | | | | | |
| A. Cash | \$ - | • | • | \$ - | \$ - | | | | |
| B. Securities | \$ - | • | * | ¥ | \$ - | | | | |
| C. Unrealized gain (loss) on open futures contracts | \$ - | • | * | \$ - | \$ - | | | | |
| D. Value of long option contracts | \$ - | | • | • | \$ - | | | | |
| E. Value on short option contracts | <u>\$ -</u> \$ - | \$ - \$ - | <u>\$ -</u> \$ | <u> </u> | <u> </u> | | | | |
| Amounts with other depositories designated by a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| | | | | | | | | | |
| 7. Segregated funds on hand | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 8. Total Funds in separate section 30.7 accounts | \$ - | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | | | | |
| 9. Excess (deficiency) set Aside Funds for Secured Amount | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 10. Management Targer Amount for Excess funds in separate section 30.7 acccounts | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | \$ - | \$ - | \$ - | \$ - | \$ - | | | | |
| | <u> </u> | | | <u> </u> | <u> </u> | | | | |

| | | | May 8, | 2017 | | May | 9, 2017 | 7 | M | ay 10, 2 | 017 | | May 11, | 2017 | | May 12 | , 2017 | |
|----------|--|-------------|---------------|----------------|-----------|-----|----------------|----------|----------|------------|-------------|----------|----------------|------|----------|----------|----------------|---|
| FC | REIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS | | | | | | | | | | | | | | | | | |
| An | nount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory | | | | | | | | | | | | | | | | | |
| or | ganization authorized thereunder | | : | \$ - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| | | | | | | | | | | | | | | | | | | |
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | | | | | | | | | | | | | | | | |
| | A. Cash | | \$ | - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| | B. Securities (at market) | | Ş | - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| 2. | | | \$ | - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| 3. | Exchange traded options | | | | | | | | | | | | | | | | | |
| | A. Market value of open option contracts purchased on a foreign board of trade | | Ş | | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| | B. Market value of open contracts granted (sold) on a foreign board of trade | | \$ | - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.) | | Ş | - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| 5. | | \$ | - | | \$ | - | | | \$ | - | | \$ | - | | \$ | - | | |
| | Less: amount offset by customer owned securities | \$ | - \$ | | \$ | - | \$ | | \$ | - \$ | - | \$ | - \$ | - | \$ | - | \$ - | _ |
| 6. | Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5) | | - | - | _ | | \$ | | | \$ | - | | \$ | - | = | = | \$ - | _ |
| _ | | | | | | | | | | | | | | | | | | |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | | | - | = | | \$ | | | \$ | | | \$ | - | = | = | \$ - | = |
| | NING DEDOCITED IN CERTIFICATION OF TARGET | | | | | | | | | | | | | | | | | |
| | NDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS Cash in banks | | | | | | | | | | | | | | | | | |
| 1. | A. Banks located in the United States | | | | | | | | ć | | | | | | ć | | | |
| | | \$ | · | | Ş | - | Ś | | Ş ¢ | - - \$ | | Ş ¢ | - < | | ş ¢ | - | ć | |
| 2 | B. Other banks qualified under Regulation 30.7 Securities | <u> </u> | <u> </u> | , - | \$ | | _ [,] | - | <u> </u> | <u>-</u> > | - | \$ | - - | - | \$ | <u> </u> | э - | |
| ۷. | | , | | | Ś | | | | ć | | | ć | | | ć | | | |
| | A. In safekeeping with banks located in the United States B. In safekeeping with other banks qualified under Regulation 30.7 | ç | | 5 - | , , | - | Ś | | \$ ¢ | - - \$ | | \$ ¢ | - - \$ | | \$ ¢ | - | ć | |
| 2 | | <u>></u> | <u> </u> | , - | \$ | | _ [,] | - | <u> </u> | <u>-</u> > | - | \$ | - - | - | \$ | <u> </u> | э - | |
| 3. | Equities with registered futures commission merchants A. Cash | Ś | | | Ś | | | | ć | | | Ś | | | ć | | | |
| | B. Securities | ç | - | | , , | - | | | \$ ¢ | - | | \$ ¢ | - | | Ş | - | | |
| | C. Unrealized gain (loss) on open futures contracts | , | - | | \$ | - | | | ç | - | | ç | - | | , , | - | | |
| | | ç | - | | , , | - | | | \$ ¢ | - | | \$ ¢ | - | | Ş Ć | - | | |
| | D. Value of long option contracts E. Value on short option contracts | \$ | | | \$ | - | Ś | | \$ ¢ | - - \$ | | \$ \$ | - - \$ | | ş Ś | - | \$ - | |
| | | <u>></u> | <u> </u> | , - | \$ | | _ [,] | - | <u> </u> | <u>-</u> > | - | \$ | - - | - | \$ | <u> </u> | э - | |
| 4. | Amounts held by clearing organizations of foreign boards of trade A. Cash | Ś | | | Ś | | | | Ś | | | Ś | | | Ś | | | |
| | | \$ | - | | \$ | - | | | \$ | - | | \$ | - | | \$ \$ | - | | |
| | B. Securities | \$ | - | | \$ | - | | | \$ | - | | \$ | - | | \$ | - | | |
| | C. Amount due to (from) clearing organizations - daily variation | \$ \$ | - | | \$ | - | | | \$ | - | | \$ | - | | \$ \$ | - | | |
| | D. Value of long option contracts | \$ \$ | | 5 - | \$ | - | Ś | | ş Ś | - - \$ | | \$ \$ | - - \$ | | ş Ś | - | Ś - | |
| _ | E. Value on short option contracts | \$ | ' | > - | \$ | | _ > | - | \$ | <u>-</u> > | - | \$ | <u> </u> | - | \$ | | Ş - | |
| 5. | Amounts held by members of foreign boards of trade A. Cash | Ś | | | Ś | | | | Ś | | | Ś | | | ė | | | |
| | A. Cash B. Securities | \$ | - | | \$ \$ | - | | | ۶ د | - | | \$ | - | | \$ \$ | - | | |
| | | \$ | - | | \$ | - | | | \$ | - | | \$ | - | | ş Ś | - | | |
| | C. Unrealized gain (loss) on open futures contracts D. Value of long option contracts | ç | - | | \$ | - | | | \$ ¢ | - | | \$ ¢ | - | | \$ \$ | - | | |
| | E. Value on short option contracts | ş S | - : | | \$ | | Ś | | \$ | - - \$ | | ç | - - \$ | | ş Ś | - | Ś - | |
| | E. Value on Short option contracts | <u>\$</u> | <u> </u> | - | <u>\$</u> | | _ ² | - | \$ | <u>-</u> > | - | \$ | _ - | - | 3 | <u> </u> | , - | |
| 6. | Amounts with other depositories designated by a foreign board of trade | | : | \$ - | | | \$ | - | | \$ | - | | \$ | - | | | \$ - | |
| 7 | Sogranded funds on hand | | | ÷ | | | ć | | | ć | | | , | | | | ċ | |
| 7. 8. | Segregated funds on hand Total Funds in separate section 30.7 accounts | | | , - | _ | | ر | <u> </u> | | ç | | • | <u> </u> | | - | _ | y - | - |
| | | | = | · - | = | | ċ | | | 7 | | | <u> </u> | | = | = | ċ | - |
| 9. | | | = | · - | | | <u>\$</u> | | | <u>*</u> | - | | = | - | = | = | φ - | - |
| | Management Targer Amount for Excess funds in separate section 30.7 acccounts | | | - (| | | \$ | | | Ş | - | | \$ | - | | | > - | _ |
| | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | | _ | 4 | | | 4 | | | | | - | | | = | | <u> </u> | _ |

| | May 15, 2017 | May 16, 2017 | May 17, 2017 | May 18, 2017 | May 19, 2017 |
|--|-------------------|----------------------------|--------------|--------------------------|------------------|
| FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS | | | | | |
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory | , | | | | |
| organization authorized thereunder | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | | | | |
| A. Cash | \$ - | \$ - | \$ - | \$ - | \$ - |
| B. Securities (at market) | \$ - | \$ - | \$ - | \$ - | \$ - |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| 3. Exchange traded options | | | | | |
| A. Market value of open option contracts purchased on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| B. Market value of open contracts granted (sold) on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| 4. Net equity (deficit) (add lines 1. 2. and 3.) | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. | \$ - | \$ - | | | \$ - |
| Less: amount offset by customer owned securities | \$ - \$ - | \$ - \$ - \$ | · | ' ' - | \$ - \$ - |
| 6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5) | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS | | | | | |
| 1. Cash in banks | | | | | |
| A. Banks located in the United States | \$ - \$ - \$ - | \$ - \$ - \$ | ; - ; - | \$ - \$ - \$ - | \$ - |
| B. Other banks qualified under Regulation 30.7 | \$ - \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u> </u> |
| 2. Securities | | | | | |
| A. In safekeeping with banks located in the United States | \$ - | \$ - \$ | | \$ - | \$ - . 4 |
| B. In safekeeping with other banks qualified under Regulation 30.7 | \$ - \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u>\$ -</u> \$ | <u>\$ -</u> \$ - |
| 3. Equities with registered futures commission merchants | | | | | |
| A. Cash | \$ - | \$ - \$ | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Unrealized gain (loss) on open futures contracts | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ | | \$ - | \$ - |
| E. Value on short option contracts | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u> </u> |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | | |
| A. Cash | \$ - | \$ - | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Amount due to (from) clearing organizations - daily variation | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ - | - | \$ - | \$ - |
| E. Value on short option contracts | \$ - \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u>\$ -</u> \$ - |
| 5. Amounts held by members of foreign boards of trade | | | | | |
| A. Cash | \$ - | \$ - | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Unrealized gain (loss) on open futures contracts | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ - | - | \$ - | \$ - |
| E. Value on short option contracts | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u>\$ -</u> \$ - |
| | . | | | A | |
| 6. Amounts with other depositories designated by a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| 7. Segregated funds on hand | ¢ | ¢ _ | \$ - | ¢ _ | ¢ _ |
| Segregated funds on nand Total Funds in separate section 30.7 accounts | \$ - | · y - · | \$ - | \$ - | \$ - |
| • | ÷ - | ÷ ÷ | , | - | , |
| 9. Excess (deficiency) set Aside Funds for Secured Amount | \$ - | \$ - | \$ - | \$ - | \$ - |
| 10. Management Targer Amount for Excess funds in separate section 30.7 acccounts | Ş - | \$ - | \$ - | <u>\$ -</u> | \$ - |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | \$ - | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ -</u> |
| | | | | | |

| | May 22, 2017 | May 23, 2017 | May 24, 2017 | May 25, 2017 | May 26, 2017 |
|--|------------------|----------------------------|--------------|---------------------------|------------------|
| FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS | | | | | |
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory | | | | | |
| organization authorized thereunder | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | | | | |
| A. Cash | \$ - | \$ - | \$ - | \$ - | \$ - |
| B. Securities (at market) | \$ - | \$ - | \$ - | \$ - | \$ - |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| Exchange traded options | | | | | |
| A. Market value of open option contracts purchased on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| B. Market value of open contracts granted (sold) on a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| 4. Net equity (deficit) (add lines 1. 2. and 3.) | \$ - | \$ - | \$ - | \$ - | \$ - |
| 5. | \$ - | \$ - | - | \$ - ! | \$ - |
| Less: amount offset by customer owned securities | \$ - \$ - | \$ - \$ - 5 | - \$ - | \$ - \$ - <u>!</u> | \$ - \$ - |
| 6. Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5) | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| FUNDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS | | | | | |
| 1. Cash in banks | | | | | |
| A. Banks located in the United States | \$ - | \$ | | \$ - : | \$ - |
| B. Other banks qualified under Regulation 30.7 | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u> </u> |
| 2. Securities | | | | | |
| A. In safekeeping with banks located in the United States | \$ - | \$ - | - | \$ - | \$ - |
| B. In safekeeping with other banks qualified under Regulation 30.7 | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u>\$ -</u> \$ - <u>-</u> | <u> </u> |
| 3. Equities with registered futures commission merchants | | | | | |
| A. Cash | \$ - | \$ - | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Unrealized gain (loss) on open futures contracts | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ - | - | \$ - : | \$ - |
| E. Value on short option contracts | <u>\$ -</u> \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u>\$ -</u> \$ - <u> </u> | <u>\$ -</u> \$ - |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | | |
| A. Cash | \$ - | \$ - | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Amount due to (from) clearing organizations - daily variation | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ - | • | | \$ - |
| E. Value on short option contracts | \$ - \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u> </u> |
| 5. Amounts held by members of foreign boards of trade | | | | | |
| A. Cash | \$ - | \$ - | - | \$ - | \$ - |
| B. Securities | \$ - | \$ - | - | \$ - | \$ - |
| C. Unrealized gain (loss) on open futures contracts | \$ - | \$ - | - | \$ - | \$ - |
| D. Value of long option contracts | \$ - | \$ - ; | | \$ - : | |
| E. Value on short option contracts | \$ - \$ - | <u>\$ -</u> \$ - <u>\$</u> | <u> </u> | <u> </u> | <u> </u> |
| 6. Amounts with other depositories designated by a foreign board of trade | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |
| 7. Segregated funds on hand | \$ - | \$ - | \$ - | \$ - | \$ - |
| 8. Total Funds in separate section 30.7 accounts | \$ - | \$ - | \$ - | \$ - | \$ - |
| 9. Excess (deficiency) set Aside Funds for Secured Amount | \$ - | \$ - | \$ - | \$ - | \$ - |
| 10. Management Targer Amount for Excess funds in separate section 30.7 acccounts | \$ - | \$ - | \$ - | \$ - | \$ - |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | \$ - | \$ - | \$ - | \$ - | \$ - |
| | | | | | |

| | | | May 3 | 30, 20 ⁻ | 17 | | May | 31, 20 | 17 |
|-----|--|----|-------|---------------------|----|----|-----|--------|----|
| FOI | REIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS | | • | , | | | • | • | |
| Am | ount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory | | | | | | | | |
| | anization authorized thereunder | | | \$ | - | | | \$ | - |
| | | | | | | | | | |
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | | | | | | | |
| | A. Cash | | | \$ | - | | | \$ | - |
| | B. Securities (at market) | | | \$ | - | | | \$ | - |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | | | \$ | - | | | \$ | - |
| 3. | Exchange traded options | | | | | | | | |
| | A. Market value of open option contracts purchased on a foreign board of trade | | | \$ | - | | | \$ | - |
| | B. Market value of open contracts granted (sold) on a foreign board of trade | | | \$ | - | | | \$ | - |
| 4. | Net equity (deficit) (add lines 1. 2. and 3.) | | | \$ | - | | | \$ | - |
| 5. | | | - | | | \$ | - | | |
| | Less: amount offset by customer owned securities | \$ | - | \$ | - | \$ | - | \$ | - |
| 6. | Amount required to be set aside as the secured amount - Net Liquidatin Equity Method (add lines 4 and 5) | | | \$ | - | = | | \$ | - |
| | | | | | | | | | |
| 7. | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | | | \$ | - | = | | \$ | |
| FUI | NDS DEPOSITED IN SEPARATE REGUALTION 30.7 ACCOUNTS | | | | | | | | |
| 1. | Cash in banks | | | | | | | | |
| | A. Banks located in the United States | \$ | - | | | \$ | - | | |
| | B. Other banks qualified under Regulation 30.7 | \$ | - | \$ | - | \$ | - | \$ | - |
| 2. | Securities | | | | | | | | |
| | A. In safekeeping with banks located in the United States | \$ | - | | | \$ | - | | |
| | B. In safekeeping with other banks qualified under Regulation 30.7 | ŝ | - | \$ | - | \$ | - | \$ | - |
| 3. | Equities with registered futures commission merchants | | | _ | | | | | |
| | A. Cash | \$ | - | | | \$ | - | | |
| | B. Securities | \$ | - | | | \$ | - | | |
| | C. Unrealized gain (loss) on open futures contracts | \$ | - | | | \$ | - | | |
| | D. Value of long option contracts | \$ | - | | | \$ | - | | |
| | E. Value on short option contracts | \$ | - | \$ | - | \$ | - | \$ | - |
| 4. | Amounts held by clearing organizations of foreign boards of trade | | | | | | | | |
| | A. Cash | \$ | - | | | \$ | - | | |
| | B. Securities | \$ | - | | | \$ | - | | |
| | C. Amount due to (from) clearing organizations - daily variation | \$ | - | | | \$ | - | | |
| | D. Value of long option contracts | \$ | - | | | \$ | - | | |
| | E. Value on short option contracts | \$ | - | \$ | - | \$ | - | \$ | - |
| 5. | Amounts held by members of foreign boards of trade | | | | | | | | |
| | A. Cash | \$ | - | | | \$ | - | | |
| | B. Securities | \$ | - | | | \$ | - | | |
| | C. Unrealized gain (loss) on open futures contracts | \$ | - | | | \$ | - | | |
| | D. Value of long option contracts | \$ | - | | | \$ | - | | |
| | E. Value on short option contracts | \$ | - | \$ | - | \$ | - | \$ | - |
| 6. | Amounts with other depositories designated by a foreign board of trade | | | \$ | - | | | \$ | - |
| 7. | Segregated funds on hand | | | \$ | | _ | | \$ | |
| 8. | Total Funds in separate section 30.7 accounts | | | \$ | - | _ | | \$ | |
| 9. | Excess (deficiency) set Aside Funds for Secured Amount | | | \$ | - | = | | \$ | - |
| 10. | Management Targer Amount for Excess funds in separate section 30.7 acccounts | | | Ś | - | • | | Ś | - |
| | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | | | \$ | - | = | | Ś | _ |
| | | | | | | = | | | |