

Cantor Fitzgerald & Co.
Statement of Financial Condition

December 31, 2016

(In Thousands)

Assets		
Cash and cash equivalents		\$ 430,145
Cash and securities segregated under federal and other regulations		244,631
Collateralized agreements:		
Securities purchased under agreements to resell	\$ 6,937,077	
Securities borrowed	405,069	
		7,342,146
Financial instruments owned (includes \$2,045,536 pledged as collateral)		2,309,094
Receivables from broker-dealers, clearing organizations, customers and related broker-dealers		524,512
Receivables from related parties		7,068
Fixed assets, net		3,714
Other assets		13,577
Total assets		<u>\$ 10,874,887</u>
Liabilities, Subordinated Borrowings and Partners' Capital		
Financial instruments sold, not yet purchased		\$ 1,607,693
Collateralized financings:		
Securities sold under agreements to repurchase	\$ 7,780,458	
Securities loaned	194,340	
		7,974,798
Payables to broker-dealers, clearing organizations, customers and related broker-dealers		638,388
Accrued compensation		48,569
Accounts payable and accrued liabilities		14,040
Payables to related parties		13,680
Total liabilities		<u>10,297,168</u>
Commitments and contingencies (Note 6)		
Subordinated borrowings		205,000
Partners' capital:		
General partner		368,992
Limited partner		3,727
Total partners' capital		<u>372,719</u>
Total liabilities, subordinated borrowings and partners' capital		<u>\$ 10,874,887</u>

See notes to the statement of financial condition

Cantor Fitzgerald & Co.

Schedule of Segregation Requirements and Funds in
 Segregation for Customers Trading on
 U.S. Commodity Exchanges
 December 31, 2016
 (In Thousands)

Amended
 Part II
 FOCUS Filed
 February 24, 2017

Segregation requirements

Net ledger balances	
Cash	\$ —
Securities (at market value)	—
Net unrealized profit (loss) on open futures contracts traded on a contract market	—
Exchange traded options:	
Add: Market value of open option contracts purchased on contract market	—
Deduct: Market value of open option contracts granted (sold) on contract market	—
Net equity and amount required to be segregated	—

Funds on deposit in segregation

Deposited in segregated funds bank accounts:	
Cash	4,970
Securities held for particular customers or option customers in lieu of cash (at market value)	—
Margins on deposit with clearing organizations of contract markets:	
Cash	—
Securities held for particular customers or option customers in lieu of cash (at market value)	—
Settlement due from (to) clearing organizations of contract markets	—
Exchange traded options:	
Add: Unrealized receivable for contracts purchased on contract markets	—
Deduct: Unrealized obligations for contracts granted (sold)	—
Net equities with other FCMs	—
Total amount in segregation	4,970
Excess funds in segregation	\$ 4,970

There are no material differences between this audited schedule of segregation requirements and funds in segregation for customers trading on U.S. commodity exchanges and the corresponding computation included in the Partnership's unaudited December 31, 2016 amended Part II FOCUS filing, amended February 24, 2017.

Cantor Fitzgerald & Co

Statement of Secured Amounts and Funds Held in Separate Accounts for
Foreign Futures and Foreign Options Customers
Pursuant To Commission Regulation 30.7

December 31, 2016
(In Thousands)

1. Amount to be set aside in separate section 30.7 accounts	<u>\$ -</u>
2. Total funds in separate section 30.7 accounts	<u>\$ -</u>
3. Excess (deficiency) - (subtract line 1 from line 2)	<u>\$ -</u>

There are no material differences between this audited statement of secured amounts and funds held in separate accounts pursuant to commission regulation 30.7 and the corresponding computation included in the Partnership's unaudited December 31, 2016 amended Part II FOCUS filing, amended February 24, 2017.