

UK MODERN SLAVERY ACT TRANSPARENCY STATEMENT (this “Statement”)

This Statement is made pursuant to section 54(1) of the UK Modern Slavery Act 2015 and constitutes the modern slavery and human trafficking statement required under UK legislation for Cantor Fitzgerald Europe (the “Company”, “we”), for the financial year ended 31 December 2018. This Statement has been approved by the Board of Directors of the Company.

Organisation

We are an investment bank. Our customers include many of the world’s largest banks, broker-dealers, investment banks, trading firms, hedge funds, governments, corporations, and investment firm

Our commitment

We are strongly opposed to modern slavery and human trafficking and will not knowingly support or conduct business with any organisation involved in such activities. We are committed to collaborating with stakeholders to improve transparency and address incidents of modern slavery or human trafficking identified.

Our supply chains

Our supply chains are relatively simple and we consider the risk to modern slavery and human trafficking to be very low. Our suppliers provide services such as IT, communication technology and professional services. Nevertheless, we are committed to the highest professional standards and ethics, and we expect the same high standards from the parties we work with as well as their compliance with all local and national laws and regulations.

We aim to identify and assess key risk areas in our supply chains. Should we ascertain modern slavery or human trafficking activities in our supply chains we will work to remediate that through appropriate and proportionate action in accordance with our legal and moral obligations.

Our policies

Our working practices respect and uphold internationally recognized human rights for all our staff. We have a whistleblowing policy that encourages all staff to report any suspected concerns regarding unethical behaviour.

This statement was approved by the Board of Directors of the Company in July 2019 pursuant to section 54(1) of the Modern Slavery Act 2015 and constitutes our anti-modern slavery and human trafficking statement for the financial year ended 31 December 2018.

Signed by

James Lightbourne

Director

For and on behalf of Cantor Fitzgerald Europe



12 July 2019