

Items on this page to be reported by: Futures Commission Merchant

## SEGREGATION REQUIREMENTS

1. Net ledger balance		
A. Cash	\$	7010
B. Securities (at market)	\$	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market	\$	7030
3. Exchange traded options		
A. Add market value of open option contracts purchased on a contract market	\$	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	\$(	7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)	\$	7040
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount	\$	7045
Less: amount offset by customer owned securities	\$(	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	7060

## FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash	\$	5,602,587 7070
B. Securities representing investments of customers' funds (at market)	\$	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	\$	7100
B. Securities representing investments of customers' funds (at market)	\$	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets	\$	7130
10. Exchange traded options		
A. Value of open long option contracts	\$	7132
B. Value of open short option contracts	\$(	7133
11. Net equities with other FCMs		
A. Net liquidating equity	\$	7140
B. Securities representing investments of customers' funds (at market)	\$	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	\$	7170
12. Segregated funds on hand (describe: _____)	\$	7150
13. Total amount in segregation (add lines 7 through 12)	\$	5,602,587 7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	5,602,587 7190
15. Management Target Amount for Excess funds in segregation	\$	3,000,000 7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	2,602,587 7198

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## CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance		
A. Cash	\$	8500
B. Securities (at market)	\$	8510
2. Net unrealized profit (loss) in open cleared swaps	\$	8520
3. Cleared swaps options		
A. Market value of open cleared swaps option contracts purchased	\$	8530
B. Market value of open cleared swaps option contracts granted (sold)	\$ (	8540)
4. Net equity (deficit) (add lines 1, 2 and 3)	\$	8550
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount	\$	8560
Less: amount offset by customer owned securities	\$ (	8570)
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5)	\$	8590

## FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks		
A. Cash	\$	8600
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
A. Cash	\$	8630
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8650
9. Net settlement from (to) derivatives clearing organizations	\$	8660
10. Cleared swaps options		
A. Value of open cleared swaps long option contracts	\$	8670
B. Value of open cleared swaps short option contracts	\$ (	8680)
11. Net equities with other FCMs		
A. Net liquidating equity	\$	8690
B. Securities representing investment of cleared swaps customers' funds (at market)	\$	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	\$	8710
12. Cleared swaps customer funds on hand (describe: _____)	\$	8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	\$	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13)	\$	8730
15. Management target amount for excess funds in cleared swaps segregated accounts	\$	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess	\$	8770

FOCUS  
Report  
Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

2025-09-19 05:52PM EDT  
Status: Accepted

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1. Amount required to be segregated in accordance with 17 CFR 32.6 .....\$ 7200
2. Funds/property in segregated accounts
- A. Cash .....\$ 7210
- B. Securities (at market value) .....\$ 7220
- C. Total funds/property in segregated accounts .....\$ 7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1) .....\$ 7240

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government  
or a rule of a self-regulatory organization authorized thereunder ..... \$ 7305

1. Net ledger balance - Foreign futures and foreign option trading - All Customers

A. Cash ..... \$ 7315

B. Securities (at market) ..... \$ 7317

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade ..... \$ 7325

3. Exchange traded options

A. Market value of open option contracts purchased on a foreign board of trade ..... \$ 7335

B. Market value of open contracts granted (sold) on a foreign board of trade ..... \$ 7337

4. Net equity (deficit)(add lines 1. 2. and 3.) ..... \$ 7345

5. Accounts liquidating to a deficit and accounts with  
debit balances - gross amount ..... \$ 7351  
Less: amount offset by customer owned securities ..... \$( 7352) \$ 7354

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) ..... \$ 7355

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. .... \$ 7360

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD  
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES  
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.72025-09-19 05:52PM EDT  
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## FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

## 1. Cash in banks

A. Banks located in the United States ..... \$ 7500

B. Other banks qualified under 17 CFR. 30.7

Name(s): 7510 \$ 7520 \$ 7530

## 2. Securities

A. In safekeeping with banks located in the United States ..... \$ 7540

B. In safekeeping with other banks designated by 17 CFR. 30.7

Name(s): 7550 \$ 7560 \$ 7570

## 3. Equities with registered futures commission merchants

A. Cash ..... \$ 7580

B. Securities ..... \$ 7590

C. Unrealized gain (loss) on open futures contracts ..... \$ 7600

D. Value of long option contracts ..... \$ 7610

E. Value of short option contracts ..... \$( ) 7615 \$ 7620

## 4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630

A. Cash ..... \$ 7640

B. Securities ..... \$ 7650

C. Amount due to (from) clearing organizations - daily variation ..... \$ 7660

D. Value of long option contracts ..... \$ 7670

E. Value of short option contracts ..... \$( ) 7675 \$ 7680

## 5. Amounts held by members of foreign boards of trade

Name(s): 7690

A. Cash ..... \$ 7700

B. Securities ..... \$ 7710

C. Unrealized gain (loss) on open futures contracts ..... \$ 7720

D. Value of long option contracts ..... \$ 7730

E. Value of short option contracts ..... \$( ) 7735 \$ 7740

## 6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750 ..... \$ 7760

7. Segregated funds on hand (describe: ) ..... \$ 7765

8. Total funds in separate 17 CFR 30.7 accounts ..... \$ 7770

## 9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) ..... \$ 7380

10. Management target amount for excess funds in separate 17 CFR 30.7 accounts ..... \$ 7780

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess ..... \$ 7785