Form X-17A-5 FOCUS Report Part II Cover Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
FOCUS REPORT (FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)
Part II 11

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

OMB Approval
OMB Number: 3235-0123, 3235-0749
Estimated average burden hours per response: 12.00 (3235-0123) 16.00 (3235-0749)

(Please read instructions before preparing Form)

This report is being filed by a/an:

1)	Broker-dealer not registered as an SBSD or MSBSP (stand-alone broker-dealer)	X 12000
2)	Broker-dealer registered as an SBSD (broker-dealer SBSD)	12001
3)	Broker-dealer registered as an MSBSP (broker-dealer MSBSP)	12002
4)	SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD)	12003
5)	MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP)	12004
	Check here if respondent is an OTC derivatives dealer	12005

This report is being filed by a: Firm authorized to use models [ ] 12006 U.S. person [X] 12007 Non-U.S. person [ ] 12008

This report is being filed pursuant to (check applicable block(s)):

1)	Rule 17a-5(a)	X 16
2)	Rule 17a-5(b)	17
3)	Special request by DEA or the Commission	19
4)	Rule 18a-7	12999
5)	Other (explain: ______)	26

NAME OF REPORTING ENTITY: CANTOR FITZGERALD & CO. [13]

SEC FILE NO.: 8-00201 [14]

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

110 EAST 59TH STREET, 4TH FLOOR [20] (No. and Street)

FIRM ID NO.: 134 [15]

NEW YORK [21] (City) NY [22] (State/Province) 10022 [23] (Zip Code)

UNITED STATES [12009] (Country)

FOR PERIOD BEGINNING (MM/DD/YY): 05/01/24 [24]

AND ENDING (MM/DD/YY): 05/31/24 [25]

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT: Ken Paulson [30]

EMAIL ADDRESS: kpaulson@cantor.com [12010]

(AREA CODE) TELEPHONE NO.: (212) 294-7922 [31]

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT — OFFICIAL USE

	32		33
	34		35
	36		37
	38		39

Is this report consolidated or unconsolidated? Consolidated [ ] 198 Unconsolidated [X] 199

Does respondent carry its own customer or security-based swap customer accounts? Yes [X] 40 No [ ] 41

Check here if respondent is filing an audited report [ ] 42

EXECUTION: The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the ______ day of ______, 2 ______.

Signatures of:	Names of:
1) ______ Principal Executive Officer or Comparable Officer	______ [12011] Principal Executive Officer or Comparable Officer
2) ______ Principal Financial Officer or Comparable Officer	______ [12012] Principal Financial Officer or Comparable Officer
3) ______ Principal Operations Officer or Comparable Officer	______ [12013] Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).)

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

FOCUS Report Part II

STATEMENT OF FINANCIAL CONDITION

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

ASSETS

Assets			Allowable		Non-Allowable		Total	
1. Cash			$ 214,760,788	200	$	12014	$ 214,760,788	750
2. Cash segregated in compliance with federal and other regulations			$ 56,512,950	210			$ 56,512,950	760
3. Receivables from brokers/dealers and clearing organizations organizations								
A. Failed to deliver								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$ 4,952,533	220				
2. Other			$ 20,391,151	230			$ 25,343,684	770
B. Securities borrowed								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$ 1,754,154	240				
2. Other			$ 2,999,698,967	250			$ 3,001,453,121	780
C. Omnibus accounts								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a			$	260				
2. Other			$	270			$	790
D. Clearing organizations								
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA			$ 9,554,021	280				
2. Other			$ 125,152,524	290			$ 134,706,545	800
E. Other			$ 1,052,915	300	$ 7,942,278	550	$ 8,995,193	810
4. Receivables from customers								
A. Securities accounts								
1. Cash and fully secured accounts			$ 42,843,973	310				
2. Partly secured accounts			$ 12,705	320	$ 264	560		
3. Unsecured accounts					$ 306,988	570		
B. Commodity accounts			$	330	$	580		
C. Allowance for doubtful accounts			$( )	335	$( )	590	$ 43,163,930	820
5. Receivables from non-customers								
A. Cash and fully secured accounts			$ 576,583	340				
B. Partly secured and unsecured accounts			$	350	$ 334,664	600	$ 911,247	830
6. Excess cash collateral pledged on derivative transactions			$	12015	$	12016	$	12017
7. Securities purchased under agreements to resell			$ 6,974,417,100	360	$	605	$ 6,974,417,100	840
8. Trade date receivable			$	292			$	802
9. Total net securities, commodities, and swaps positions			$ 4,958,345,521	12019	$ 48,310,761	12022	$ 5,006,656,282	12024
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value								
A. Exempted securities	$	150						
B. Other	$	160	$	460	$	630	$	880
11. Secured demand notes – market value of collateral								
A. Exempted securities	$	170						
B. Other	$	180	$	470	$	640	$	890

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

FOCUS Report Part II	STATEMENT OF FINANCIAL CONDITION	2024-07-24 03:29PM EDT Status: Accepted Amendment: 1 of 1
	Items on this page to be reported by a: Stand-Alone Broker-Dealer Stand-Alone SBSD Broker-Dealer SBSD Stand-Alone MSBSP Broker-Dealer MSBSP	

Assets	Allowable		Non-Allowable		Total	
12. Memberships in exchanges:						
A. Owned, at market value $ ______ [190]						
B. Owned at cost			$	650		
C. Contributed for use of company, at market value			$	660	$	900
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	$	480	$ 3,613,018	670	$ 3,613,018	910
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements At cost (net of accumulated depreciation and amortization)	$ 11,346,335	490	$ 3,929,591	680	$ 15,275,926	920
15. Other assets						
A. Dividends and interest receivable	$ 143,417	500	$ 139,317	690		
B. Free shipments	$	510	$	700		
C. Loans and advances	$	520	$ 189,187	710		
D. Miscellaneous	$	530	$ 92,739,646	720		
E. Collateral accepted under ASC 860	$	536				
F. SPE Assets	$	537			$ 93,211,567	930
16. TOTAL ASSETS	$ 15,421,515,637	540	$ 157,505,714	740	$ 15,579,021,351	940

Note: Stand-alone MSBSPs should only complete the Allowable and Total columns.

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

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LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities		Non-A.I. Liabilities		Total	
17. Bank loans payable:						
A. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA	$	1030	$	1240	$	1460
B. Other	$	1040	$	1250	$ 100,000,000	1470
18. Securities sold under repurchase agreements			$	1260	$ 11,720,981,976	1480
19. Payable to brokers/dealers and clearing organizations						
A. Failed to receive:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1050	$	1270	$ 2,279,986	1490
2. Other	$	1060	$	1280	$ 16,274,176	1500
B. Securities loaned						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1070			$ 11,316,989	1510
2. Other	$	1080	$	1290	$ 1,553,524,950	1520
C. Omnibus accounts						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	$	1090			$	1530
2. Other	$	1095	$	1300	$	1540
D. Clearing organizations						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA	$	1100			$ 15,537,454	1550
2. Other	$	1105	$	1310	$ 12,807,351	1560
E. Other	$	1110	$	1320	$ 25,640,830	1570
20. Payable to customers:						
A. Securities accounts - including free credits of $ 14,620,878 [950]	$	1120			$ 84,472,157	1580
B. Commodities accounts	$	1130	$	1330	$	1590
21. Payable to non customers:						
A. Securities accounts	$	1140	$	1340	$ 7,692,733	1600
B. Commodities accounts	$	1150	$	1350	$ 72,308	1610
22. Excess cash collateral received on derivative transactions	$	12025	$	12026	$	12027
23. Trade date payable	$	12031	$	12037	$ 101,044,478	1562
24. Total net securities, commodities, and swaps positions	$	12032	$	12038	$ 1,036,642,228	12044
25. Accounts payable and accrued liabilities and expenses						
A. Drafts payable	$	1160			$	1630
B. Accounts payable	$	1170			$ 1,352,900	1640
C. Income taxes payable	$	1180			$	1650
D. Deferred income taxes			$	1370	$	1660
E. Accrued expenses and other liabilities	$	1190			$ 71,776,706	1670
F. Other	$	1200	$	1380	$ 103,359,577	1680
G. Obligation to return securities	$	12033	$	1386	$	1686
H. SPE Liabilities	$	12045	$	1387	$	1687

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

FOCUS Report Part II	STATEMENT OF FINANCIAL CONDITION	2024-07-24 03:29PM EDT Status: Accepted Amendment: 1 of 1

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26. Notes and mortgages payable			
A. Unsecured	$ [1210]		$ [1690]
B. Secured	$ [1211]	$ [1390]	$ [1700]

Liabilities	A.I. Liabilities	Non-A.I. Liabilities	Total
27. Liabilities subordinated to claims of			
A. Cash borrowings		$ [1400]	$ 205,000,000 [1710]
1. From outsiders $ [970]			
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of $ 205,000,000 [980]			
B. Securities borrowings, at market value		$ [1410]	$ [1720]
1. From outsiders $ [990]			
C. Pursuant to secured demand note collateral agreements		$ [1420]	$ [1730]
1. From outsiders $ [1000]			
2. Includes equity subordination (Rule 15c3-1(d) or Rule 18a-1(g)) of $ [1010]			
D. Exchange memberships contributed for use of company, at market value		$ [1430]	$ [1740]
E. Accounts and other borrowings not qualified for net capital purposes	$ [1220]	$ [1440]	$ [1750]
28. TOTAL LIABILITIES	$ [1230]	$ [1450]	$ 15,069,776,799 [1760]

Ownership Equity			
29. Sole proprietorship			$ [1770]
30. Partnership and limited liability company – including limited partners/members	$ [1020]		$ 509,244,552 [1780]
31. Corporation			
A. Preferred stock		$ [1791]	
B. Common stock		$ [1792]	
C. Additional paid in capital		$ [1793]	
D. Retained Earnings		$ [1794]	
E. Accumulated other comprehensive income		$ [1797]	
F. Total			$ [1795]
G. Less capital stock in treasury			$ ( ) [1796]
32. TOTAL OWNERSHIP EQUITY (sum of Line Items 1770, 1780, 1795, and 1796)			$ 509,244,552 [1800]
33. TOTAL LIABILITIES AND OWNERSHIP EQUITY (sum of Line Items 1760 and 1800)			$ 15,579,021,351 [1810]

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Stand-Alone SBSD (Authorized to use models)
Broker-Dealer SBSD (Authorized to use models)
Broker-Dealer MSBSP (Authorized to use models)

Computation Of Net Capital

1. Total ownership equity from Item 1800 . . . . . $ ______ [3480]
2. Deduct ownership equity not allowable for net capital . . . . . $(______) [3490]
3. Total ownership equity qualified for net capital . . . . . $ ______ [3500]
4. Add:
   A. Liabilities subordinated to claims of creditors allowable in computation of net capital . . . . . $ ______ [3520]
   B. Other (deductions) or allowable credits (list) . . . . . $ ______ [3525]
5. Total capital and allowable subordinated liabilities . . . . . $ ______ [3530]
6. Deductions and/or charges:
   A. Total nonallowable assets from Statement of Financial Condition . . . . . $ ______ [3540]
      1. Additional charges for customers' and non-customers' security accounts $ ______ [3550]
      2. Additional charges for customers' and non-customers' commodity accounts . . . . . $ ______ [3560]
      3. Additional charges for customers' and non-customers' security-based swap accounts . . . . . $ ______ [12047]
      4. Additional charges for customers' and non-customers' swap accounts $ ______ [12048]
   B. Aged fail-to-deliver: . . . . . $ ______ [3570]
      1. number of items. . . . . ______ [3450]
   C. Aged short security differences-less reserve of . . . . . $ ______ [3460] $ ______ [3580]
      number of items . . . . . ______ [3470]
   D. Secured demand note deficiency . . . . . $ ______ [3590]
   E. Commodity futures contracts and spot commodities - proprietary capital charges . . . . . $ ______ [3600]
   F. Other deductions and/or charges . . . . . $ ______ [3610]
   G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x) $ ______ [3615]
   H. Total deductions and/or charges (sum of Lines 6A-6G). . . . . $(______) [3620]
7. Other additions and/or allowable credits (list) . . . . . $ ______ [3630]
8. Tentative net capital . . . . . $ ______ [3640]
9. Market risk exposure – for VaR firms (sum of Lines 9E, 9F, 9G, and 9H), ... $ ______ [3677]
   A. Total value at risk (sum of Lines 9A1-9A5) . . . . . $ ______ [3634]
      Value at risk components
      1. Fixed income VaR . . . . . $ ______ [3636]
      2. Currency VaR . . . . . $ ______ [3637]
      3. Commodities VaR . . . . . $ ______ [3638]
      4. Equities VaR . . . . . $ ______ [3639]
      5. Credit derivatives VaR . . . . . $ ______ [3641]
   B. Diversification benefit . . . . . $ ______ [3642]
   C. Total diversified VaR (sum of Lines 9A and 9B) . . . . . $ ______ [3643]
   D. Multiplication factor . . . . . $ ______ [3645]
   E. Subtotal (Line 9C multiplied by Line 9D) . . . . . $ ______ [3655]
   F. Deduction for specific risk, unless included in Lines 9A-9E above . . . . . $ ______ [3646]

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Stand-Alone SBSD (Authorized to use models)
Broker-Dealer SBSD (Authorized to use models)
Broker-Dealer MSBSP (Authorized to use models)

G. Risk deduction using scenario analysis (sum of Lines 9G1-9G5)			$	3647		
1. Fixed income	$	3648				
2. Currency	$	3649				
3. Commodities	$	3651				
4. Equities	$	3652				
5. Credit derivatives	$	3653				
H. Residual marketable securities (see Rule 15c3-1(c)(2)(vi) or 18a-1(c)(1)(vii), as applicable))			$	3665		
10. Market risk exposure – for Basel 2.5 firms (sum of Lines 10E, 10H, 10I, 10J, 10K, 10L, 10M, 10N, and 10O)					$	12776
A. Total value at risk (sum of Lines 10A1-10A5)			$	12762		
Value at risk components						
1. Fixed income VaR	$	12758				
2. Currency VaR	$	12759				
3. Commodities VaR	$	12760				
4. Equities VaR	$	12761				
5. Credit derivatives VaR	$	12029				
B. Diversification benefit			$	12763		
C. Total diversified VaR (sum of Line 10A and 10B)			$	12030		
D. Multiplication factor			$	12764		
E. Subtotal (Line 10C is multiplied by Line 10D)			$	12765		
F. Total stressed VaR (SVaR)			$	12766		
G. Multiplication factor			$	12767		
H. Subtotal (Line 10F multiplied by Line 10G)			$	12768		
I. Incremental risk charge (IRC)			$	12769		
J. Comprehensive risk measure (CRM)			$	12770		
K. Specific risk – standard specific market risk (SSMR)			$	12771		
L. Specific risk – securitization (SFA / SSFA)			$	12772		
M. Alternative method for equities under Appendix A to Rule 15c3-1 or Rule 18a-1a, as applicable			$	12773		
N. Residual positions			$	12774		
O. Other			$	12775		
11. Credit risk exposure for certain counterparties (see Appendix E to Rule 15c3-1 or Rule 18a-1(e)(2), as applicable)						
A. Counterparty exposure charge (add Lines 11A1 and 11A2)					$	3676
1. Net replacement value default, bankruptcy			$	12049		
2. Credit equivalent amount exposure to the counterparty multiplied by the credit-risk weight of the counterparty multiplied by 8%			$	12050		
B. Concentration charge					$	3659
1. Credit risk weight ≤ 20%			$	3656		
2. Credit risk weight >20% and ≤ 50%			$	3657		
3. Credit risk weight >50%			$	3658		
C. Portfolio concentration charge					$	3678
12. Total credit risk exposure (add Lines11A, 11B and 11C)					$	3688
13. Net capital(for VaR firms, subtract Lines 9 and 12 from Line 8) (for Basel 2.5 firms, subtract Lines 10 and 12 from Line 8)					$	3750

Name of Firm: ____________

As of: ____________

Items on this page to be reported by a: Stand-Alone Broker-Dealer (Not Authorized to use models)
Stand-Alone SBSD (Not Authorized to use models)
Broker-Dealer SBSD (Not Authorized to use models)
Broker-Dealer MSBSP (Not Authorized to use models)

Computation of Net Capital

1. Total ownership equity from Item 1800				$ 509,244,552	3480
2. Deduct ownership equity not allowable for net capital				$( )	3490
3. Total ownership equity qualified for net capital				$ 509,244,552	3500
4. Add:					
A. Liabilities subordinated to claims of creditors allowable in computation of net capital				$ 205,000,000	3520
B. Other (deductions) or allowable credits (list)				$	3525
5. Total capital and allowable subordinated liabilities				$ 714,244,552	3530
6. Deductions and/or charges					
A. Total nonallowable assets from Statement of Financial Condition		$ 157,505,714	3540		
1. Additional charges for customers' and non-customers' security accounts		$	3550		
2. Additional charges for customers' and non-customers' commodity accounts		$	3560		
3. Additional charges for customers' and non-customers' security-based swap accounts		$	12051		
4. Additional charges for customers' and non-customers' swap accounts		$	12052		
B. Aged fail-to-deliver		$ 1,393,129	3570		
1. number of items	69 [3450]				
C. Aged short security differences-less reserve of	$ [3460]	$	3580		
number of items	[3470]				
D. Secured demand note deficiency		$	3590		
E. Commodity futures contracts and spot commodities - proprietary capital charges		$ 15,006,789	3600		
F. Other deductions and/or charges		$ 10,285,227	3610		
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x)		$	3615		
H. Total deductions and/or charges				$(184,190,859)	3620
7. Other additions and/or allowable credits (list)				$	3630
8. Tentative net capital (net capital before haircuts)				$ 530,053,693	3640
9. Haircuts on securities other than security-based swaps					
A. Contractual securities commitments		$	3660		
B. Subordinated securities borrowings		$	3670		
C. Trading and investment securities		$			
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments		$	3680		
2. U.S. and Canadian government obligations		$ 59,933,918	3690		
3. State and municipal government obligations		$ 2,809,189	3700		
4. Corporate obligations		$ 36,578,711	3710		
5. Stocks and warrants		$ 11,642,095	3720		
6. Options		$	3730		
7. Arbitrage		$	3732		
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a		$	12028		
9. Other securities		$ 1,184,411	3734		
D. Undue concentration		$	3650		
E. Other (List:)		$	3736		
10. Haircuts on security-based swaps		$	12053		
11. Haircuts on swaps		$ 9,170,000	12054		
12. Total haircuts (sum of Lines 9A-9E, 10, and 11)				$(121,318,324)	3740
13. Net capital (Line 8 minus Line 12)				$ 408,735,369	3750

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD (other than OTC Derivatives Dealer)
Broker-Dealer MSBSP

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	$		3640
2. Minimum tentative net capital requirement	$		12055
3. Excess tentative net capital (difference between Lines 1 and 2)	$		12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	$		12057

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement			
A. 62/3% of total aggregate indebtedness (Line Item 3840)	$		3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	$	1,169,502	3870
i. Minimum CFTC net capital requirement (if applicable) $ 1,000,000 [7490]			
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1(a)(7)(i) or (a)(10)	$		12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)	$	153,012	12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)	$	1,322,514	12060
6. Fixed-dollar minimum net capital requirement	$	1,500,000	3880
7. Minimum net capital requirement (greater of Lines 5E and 6)	$	1,500,000	3760
8. Excess net capital (Item 3750 minus Item 3760)	$	407,235,369	3910
9. Net capital and tentative net capital in relation to early warning thresholds			
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	$	406,935,369	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	$	405,811,613	3920

Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	$		3790
11. Add			
A. Drafts for immediate credit $ ______ [3800]			
B. Market value of securities borrowed for which no equivalent value is paid or credited $ ______ [3810]			
C. Other unrecorded amounts (list) $ ______ [3820]			
D. Total additions (sum of Line Items 3800, 3810, and 3820)	$		3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	$		3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)	$		3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)	%		3850
15. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880)	%		3853

Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	%	698.99	3851
17. Percentage of net capital, after anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)	%	698.99	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	%	0.00	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	%		3852

Items on this page to be reported by a: Stand-Alone SBSD
SBSD registered as an OTC Derivatives Dealer

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	$		3640
2. Fixed-dollar minimum tentative net capital requirement	$		12062
3. Excess tentative net capital (difference between Lines 1 and 2)	$		12063
4. Tentative net capital in excess of 120% of minimum tentative net capital requirements reported on Line 2	$		12064

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement – Percentage of risk margin amount computed under 17 CFR 240.18a-1(a)(1)	$		12065
6. Fixed-dollar minimum net capital requirement	$		3880
7. Minimum net capital requirement (greater of Lines 5 and 6)	$		3760
8. Excess net capital (Item 3750 minus Item 3760)	$		3910
9. Net capital in excess of 120% of minimum net capital requirement reported on Line 7 (Line Item 3750 – [Line Item 3760 x 120%])	$		12066

COMPUTATION OF TANGIBLE NET WORTH

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Items on this page to be reported by a: Stand-Alone MSBSP

1. Total ownership equity (from Item 1800)	$		1800
2. Goodwill and other intangible assets	$		12067
3. Tangible net worth (Line 1 minus Line 2)	$		12068

Name of Firm: ______________________

As of: ____________

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

For the period (MMDDYY) from 05/01/24 [3932] to 05/31/24 [3933] Number of months included in this statement 1 [3931]

REVENUE

1. Commissions		
A. Commissions on transactions in listed equity securities executed on an exchange	$ 10,509,854	3935
B. Commissions on transactions in exchange listed equity securities executed over-the-counter	$	3937
C. Commissions on listed option transactions	$	3938
D. All other securities commissions	$ 7,925,086	3939
E. Total securities commissions	$ 18,434,940	3940
2. Gains or losses on firm securities trading accounts		
A. From market making in over-the-counter equity securities	$	3941
1. Includes gains or losses on OTC market making in exchange-listed equity securities $ [3943]		
B. From trading in debt securities	$	3944
C. From market making in options on a national securities exchange	$	3945
D. From all other trading	$ 8,222,716	3949
E. Total gains or losses	$ 8,222,716	3950
3. Gains or losses from derivatives trading	$	3926
4. Gains or losses on firm securities investment accounts		
A. Includes realized gains or losses $ [4235]		
B. Includes unrealized gains or losses $ [4236]		
C. Total realized and unrealized gains or losses	$	3952
5. Gains or losses from underwriting and selling groups	$ 20,334,289	3955
A. Includes underwriting income from corporate equity securities $ [4237]		
6. Margin interest	$	3960
7. Revenue from sale of investment company shares	$	3970
8. Fees for account supervision, investment advisory and administrative services	$	3975
9. Revenue from research services	$	3980
10. Gains or losses on commodities	$ 88,774	3990
11. Other revenue related to securities business	$ 89,276,312	3985
12. Other revenue	$ (12,876,202)	3995
13. Total revenue	$ 123,480,829	4030

EXPENSES

14. Registered representatives' compensation	$ 34,838,353	4110
15. Clerical and administrative employees' expenses	$ 2,613,414	4040
16. Salaries and other employment costs for general partners, and voting stockholder officers	$	4120
A. Includes interest credited to general and limited partners' capital accounts $ [4130]		
17. Floor brokerage paid to certain brokers (see definition)	$	4055
18. Commissions and clearance paid to all other brokers (see definition)	$	4145
19. Clearance paid to non-brokers (see definition)	$ 2,301,251	4135
20. Communications	$ 3,615,788	4060
21. Occupancy and equipment costs	$ 1,234,366	4080
22. Promotional costs	$	4150
23. Interest expense	$ 84,715,900	4075
A. Includes interest on accounts subject to subordination agreements $ [4070]		
24. Losses in error account and bad debts	$	4170
25. Data processing costs (including service bureau service charges)	$ 129,821	4186
26. Non-recurring charges	$	4190

Name of Firm: CANTOR FITZGERALD & CO.
As of: 05/31/24

FOCUS Report Part II

STATEMENT OF INCOME (LOSS) OR STATEMENT OF COMPREHENSIVE INCOME, AS APPLICABLE

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

27. Regulatory fees and expenses	$	410,531	4195
28. Other expenses	$	9,648,362	4100
29. Total expenses	$	139,507,786	4200

NET INCOME/COMPREHENSIVE INCOME

30. Income or loss before federal income taxes and items below (Line 13 less Line 29)	$	(16,026,957)	4210
31. Provision for Federal Income taxes (for parent only)	$		4220
32. Equity in earnings or losses of unconsolidated subsidiaries not included above	$		4222
A. After Federal income taxes of $ [4238]			
33. Net income or loss after federal income taxes	$	(16,026,957)	4230
34. Other comprehensive income (loss)	$		4226
A. After Federal income taxes of $ [4227]			
35. Comprehensive income (loss)	$	(16,026,957)	4228

MONTHLY INCOME

36. Net income (current month only) before comprehensive income and provision for federal income taxes	$	(16,026,957)	4211

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Status: Accepted
Amendment: 1 of 1

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

OWNERSHIP EQUITY AND SUBORDINATED LIABILITIES MATURING OR PROPOSED TO BE WITHDRAWN WITHIN THE NEXT SIX MONTHS AND ACCRUALS, WHICH HAVE NOT BEEN DEDUCTED IN THE COMPUTATION OF NET CAPITAL

Type of Proposed withdrawal or Accrual (See below for code to enter)	Name of Lender or Contributor	Insider or Outsider ? (In or Out)	Amount to be with-drawn (cash amount and/or Net Capital Value of Securities)	(MM/DD/YY) Withdrawal or Maturity Date	Expect to Renew (Yes or No)
4600	4601	4602	$ 4603	4604	4605
4610	4611	4612	$ 4613	4614	4615
4620	4621	4622	$ 4623	4624	4625
4630	4631	4632	$ 4633	4634	4635
4640	4641	4642	$ 4643	4644	4645
4650	4651	4652	$ 4653	4654	4655
4660	4661	4662	$ 4663	4664	4665
4670	4671	4672	$ 4673	4674	4675
4680	4681	4682	$ 4683	4684	4685
4690	4691	4692	$ 4693	4694	4695
		TOTAL	$ 4699*		

* To agree with the total on Recap (Item No. 4880)

Instructions: Detailed listing must include the total of items maturing during the six month period following the report date, regardless of whether or not the capital contribution is expected to be renewed. This section must also include proposed capital withdrawals scheduled within the six month period following the report date including the proposed redemption of stock and payments of liabilities secured by fixed assets (which are considered allowable assets in the capital computation, which could be required by the lender on demand or in less than six months.

CODE:	DESCRIPTIONS:
1.	Equity Capital
2.	Subordinated Liabilities
3.	Accruals
4.	Assets not readily convertible into cash

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

OWNERSHIP EQUITY AND SUBORDINATED LIABILITIES MATURING OR PROPOSED TO BE WITHDRAWN WITHIN THE NEXT SIX MONTHS AND ACCRUALS, WHICH HAVE NOT BEEN DEDUCTED IN THE COMPUTATION OF NET CAPITAL

1. Equity capital		
A. Partnership and limited liability company capital		
1. General partners	$	4700
2. Limited partners and limited liability company members	$	4710
3. Undistributed profits	$	4720
4. Other (describe below)	$	4730
5. Sole proprietorship	$	4735
B. Corporation capital		
1. Common stock	$	4740
2. Preferred stock	$	4750
3. Retained earnings (dividends and other)	$	4760
4. Other (describe below)	$	4770
2. Subordinated liabilities		
A. Secured demand notes	$	4780
B. Cash subordinations	$	4790
C. Debentures	$	4800
D. Other (describe below)	$	4810
3. Other anticipated withdrawals		
A. Bonuses	$	4820
B. Voluntary contributions to pension or profit sharing plans	$	4860
C. Other (describe below)	$	4870
Total (sum of Lines 1-3):	$	4880

4. Description of Other

STATEMENT OF CHANGES IN OWNERSHIP EQUITY (SOLE PROPRIETORSHIP, PARTNERSHIP, LLC OR CORPORATION)

1. Balance, beginning of period			$ 521,450,797	4240
A. Net income (loss) or comprehensive income (loss), as applicable			$ (16,026,957)	4250
B. Additions (Includes non-conforming capital of	$	4262)	$ 12,798,000	4260
C. Deductions (Includes non-conforming capital of	$	4272)	$ 8,977,288	4270
2. Balance, end of period (From Item 1800)			$ 509,244,552	4290

STATEMENT OF CHANGES IN LIABILITIES SUBORDINATED TO CLAIMS OF CREDITORS

3. Balance, beginning of period	$ 205,000,000	4300
A. Increases	$	4310
B. Decreases	$	4320
4. Balance, end of period (From item 3520)	$ 205,000,000	4330

FOCUS Report Part II

FINANCIAL AND OPERATIONAL DATA

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

	Valuation		Number	
1. Month end total number of stock record breaks				
A. Breaks long unresolved for more than three business days	$	4890		4900
B. Breaks short unresolved for more than seven business days after discovery	$	4910		4920

2. Is the firm in compliance with Rule 17a-13 or 18a-9, as applicable, regarding periodic count and verification of securities positions and locations at least once in each calendar quarter ? (Check one) Yes [X] 4930 No [ ] 4940

A) If response is negative attach explanation of steps being taken to comply with Rule 17a-13.

3. Personnel employed at end of reporting period		
A. Income producing personnel	444	4950
B. Non-income producing personnel (all other)	140	4960
C. Total (sum of Lines 3A-3B)	584	4970
4. Actual number of tickets executed during the reporting period	4,934,260	4980
5. Number of corrected customer confirmations sent after settlement date		4990

	No. of Items		Ledger Amount		Market Value	
6. Failed to deliver 5 business days or longer (21 business days or longer in the case of Municipal Securities)	83	5360	$ 2,795,182	5361	$	5362
7. Failed to receive 5 business days or longer (21 business days or longer in the case of Municipal Securities)	65	5363	$ 2,190,168	5364	$	5365

8. Security (including security-based swap) concentrations		
A. Proprietary positions for which there is an undue concentration	$	5370
B. Customers' and security-based swap customers' accounts under Rules 15c3-3 or 18a-4, as applicable	$	5374
9. Total of personal capital borrowings due within six months	$	5378
10. Maximum haircuts on underwriting commitments during the period	$ 75,000,000	5380
11. Planned capital expenditures for business expansion during next six months	$	5382
12. Liabilities of other individuals or organizations guaranteed by respondent	$	5384
13. Lease and rentals payable within one year	$ 3,256,923	5386
14. Aggregate lease and rental commitments payable for entire term of the lease		
A. Gross	$ 13,170,499	5388
B. Net	$ 13,170,499	5390

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Broker-Dealer MSBSP

Operational Deductions from Capital – Note A

		I No. of Items		II Debits (Short Value) (Omit 000's)		III Credits (Long Value) (Omit 000's)		IV Deductions In Computing Net Capital (Omit Pennies)	
1. Money suspense and balancing differences			5610	$	5810	$	6010	$	6012
2. Security suspense and differences with related	L		5620	$	5820	$	6020	$	6022
money balances	S		5625	$	5825	$	6025	$	6027
3. Market value of short and long security suspense and differences without related money (other than reported in line 4, below)			5630	$	5830	$	6030	$	6032
4. Market value of security record breaks			5640	$	5840	$	6040	$	6042
5. Unresolved reconciling differences with others:									
A. Correspondents, broker-dealers, SBSDs, and MSBSPs	L		5650	$	5850	$	6050	$	6052
	S		5655	$	5855	$	6055	$	6057
B. Depositories			5660	$	5860	$	6060	$	6062
C. Clearing Organizations	L		5670	$	5870	$	6070	$	6072
	S		5675	$	5875	$	6075	$	6077
D. Inter-company Accounts			5680	$	5880	$	6080	$	6082
E. Bank Accounts and Loans			5690	$	5890	$	6090	$	6092
F. Other			5700	$	5900	$	6100	$	6102
G. (Offsetting) Lines 5A through 5F			5720	$ ( )	5920	$ ( )	6120		
TOTAL (Lines 5A-5G)			5730	$	5930	$	6130	$	6132
6. Commodity Differences			5740	$	5940	$	6140	$	6142
7. Open transfers and reorganization account items over 40 days not confirmed or verified			5760	$	5960	$	6160	$	6162
8. TOTAL (Lines 1-7)			5770	$	5970	$	6170	$	6172
9. Lines 1-6 resolved subsequent to report date			5775	$	5975	$	6175	$	6177
10. Aged Fails --to deliver			5780	$	5980	$	6180	$	6182
--to receive			5785	$	5985	$	6185	$	6187

NOTE A -- This section must be completed as follows:

1. The filers must complete Column IV, Lines 1 through 8 and 10, reporting deductions from capital as of the report date whether resolved subsequently or not (see instructions relative to each line item).
2. Columns I, II and III of Lines 1 through 8 must be completed only if the total deduction on Column IV of Line 8 equals or exceeds 25% of excess net capital as of the prior month end reporting date. All columns of Line 10 require completion.
3. A response to Columns I through IV of Line 9 and the "Potential Operational Charges Not Deducted From Capital-Note B" are required only if:
   A. The parameters cited in Note A-2 exist, and
   B. The total deduction, Line 8, Column IV, for the current month exceeds the total deductions for the prior month by 50% or more.
4. All columns and Lines 1 through 10 must be answered if required. If respondent has nothing to report, enter "0."

Other Operational Data (Items 1, 2 and 3 below require an answer)

Item 1. Have the accounts enumerated on Lines 5A through 5F above been reconciled with statements received from others within 35 days for Lines 5A through 5D and 65 days for Lines 5E and 5F prior to the report date and have all reconciling differences been appropriately comprehended in the computation of net capital at the report date? If this has not been done in all respects, answer No.

Yes X [5600]
No [5601]

Item 2. Do the respondent's books reflect a concentrated position in commodities? If yes, report the totals ($000 omitted) in accordance with the specific instructions. If No, answer "0" for:

A. Firm trading and investment accounts . . . $ ______ [5602]
B. Customers' and non-customers' and other accounts . . . $ ______ [5603]

Item 3. Does respondent have any planned operational changes? (Answer Yes or No based on specific instructions.)

Yes [5604]
No X [5605]

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

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Status: Accepted
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FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

Potential Operational Charges Not Deducted From Capital - Note B

	I No. of Items	II Debits (Short Value) (Report in Thousands)	III Credits (Long Value) (Report in Thousands)	IV Deductions in Computing Net Capital (Omit Pennies)
1. Money suspense and balancing differences	6210	$ 6410	$ 6610	$ 6612
2. Security suspense and differences with related money balances L	6220	$ 6420	$ 6620	$ 6622
S	6225	$ 6425	$ 6625	$ 6627
3. Market value of short and long security suspense and differences without related money (other than reported in line 4, below)	6230	$ 6430	$ 6630	$ 6632
4. Market value of security record breaks	6240	$ 6440	$ 6640	$ 6642
5. Unresolved reconciling differences with others:				
A. Correspondents, broker-dealers, SBSDs, and MSBSPs L	6250	$ 6450	$ 6650	$ 6652
S	6255	$ 6455	$ 6655	$ 6657
B. Depositories	6260	$ 6460	$ 6660	$ 6662
C. Clearing Organizations L	6270	$ 6470	$ 6670	$ 6672
S	6275	$ 6475	$ 6675	$ 6677
D. Inter-company Accounts	6280	$ 6480	$ 6680	$ 6682
E. Bank Accounts and Loans	6290	$ 6490	$ 6690	$ 6692
F. Other	6300	$ 6500	$ 6700	$ 6702
G. (Offsetting) Lines 5A through 5F	6310	$( ) 6510	$( ) 6710	
TOTAL (Lines 5A-5G)	6330	$ 6530	$ 6730	$ 6732
6. Commodity Differences	6340	$ 6540	$ 6740	$ 6742
7. TOTAL (Lines 1-6)	6370	$ 6570	$ 6770	$ 6772

NOTE B - This section must be completed as follows:

1. Lines 1 through 6 and Columns I through IV must be completed only if:
   A. The total deductions on Line 8, Column IV, of the "Operational Deductions From Capital-Note A" equal or exceed 25% of excess net capital as of the prior month end reporting date; and
   B. The total deduction on Line 8, Column IV, , of the "Operational Deductions From Capital-Note A" for the current month exceeds the total deductions for the prior month by 50% or more. If respondent has nothing to report, enter “0.”
2. Include only suspense and difference items open at the report date which were NOT required to be deducted in the computation of net capital AND which were not resolved seven (7) business days subsequent to the report date.
3. Include in Column IV only additional deductions not comprehended in the computation of net capital at the report date.
4. Include on Lines 5A through 5F unfavorable differences offset by favorable differences at the report date if resolution of the favorable items resulted in additional deductions in the computation of net capital subsequent to the report date.
5. Exclude from Lines 5A through 5F new reconciling differences disclosed as a result of reconciling with the books of account statements received subsequent to the report date.
6. Lines 1 through 5 above correspond to similar lines in the “Operational Deductions From Capita-Note A” and the same instructions should be followed except as stated in Notes B-1 through B-5 above.

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

CREDIT BALANCES

1. Free credit balances and other credit balances in customers' security accounts (see Note A)	$ 35,121,432	4340		
2. Monies borrowed collateralized by securities carried for the accounts of customers (see Note B)	$	4350		
3. Monies payable against customers' securities loaned (see Note C)	$ 11,316,989	4360		
4. Customers' securities failed to receive (see Note D)	$ 17,817,440	4370		
5. Credit balances in firm accounts which are attributable to principal sales to customers	$ 4,002,059	4380		
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	$	4390		
7. ** Market value of short security count differences over 30 calendar days old	$	4400		
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	$ 1,929,044	4410		
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	$	4420		
10. Other (List:)	$	4425		
11. TOTAL CREDITS (sum of Lines 1-10)			$ 70,186,964	4430

DEBIT BALANCES

12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E)	$ 42,214,413	4440		
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	$ 1,754,154	4450		
14. Failed to deliver of customers' securities not older than 30 calendar days	$ 14,506,554	4460		
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F)	$	4465		
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	$	4467		
17. Other (List:)	$	4469		
18. ** Aggregate debit items (sum of Lines 12-17)			$ 58,475,121	4470
19. ** Less 3% (for alternative method only – see Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470)			$(1,754,254)	4471
20. **TOTAL DEBITS (Line 18 less Line 19)			$ 56,720,867	4472

RESERVE COMPUTATION

21. Excess of total debits over total credits (line 20 less line 11)	$ 0	4480
22. Excess of total credits over total debits (line 11 less line 20)	$ 13,466,097	4490
23. If computation is made monthly as permitted, enter 105% of excess of total credits over total debits	$	4500
24. Amount held on deposit in "Reserve Bank Account(s)", including $ 0 [4505] value of qualified securities, at end of reporting period	$ 31,717,440	4510
25. Amount of deposit (or withdrawal) including $ 0 [4515] value of qualified securities	$ (2,640,976)	4520
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including $ 0 [4525] value of qualified securities	$ 29,076,464	4530
27. Date of deposit (MM/DD/YY)	06/04/24	4540

FREQUENCY OF COMPUTATION

28. Daily ______ [4332] Weekly X [4333] Monthly ______ [4334]

** In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

State the market valuation and the number of items of:

1. Customers' fully paid securities and excess margin securities not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3. Notes A and B . . . . $ ______ 4586
   A. Number of items . . . . ______ 4587
2. Customers' fully paid securities and excess margin securities for which instructions to reduce to possession or control had not been issued as of the report date, excluding items arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3. Notes B,C and D . . . . $ ______ 4588
   A. Number of items . . . . ______ 4589
3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of customers' fully paid and excess margin securities have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3 . . . Yes X 4584 No ______ 4585

Notes:

A--Do not include in Line 1 customers' fully paid and excess margin securities required by Rule 15c 3-3 to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the time frames specified under Rule 15c3-3.

B--State separately in response to Lines 1 and 2 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

C--Be sure to include in Line 2 only items not arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3.

D--Line 2 must be responded to only with report which is filed as of the date selected for the broker's or dealer's annual audit of financial statements, whether or not such date is the end of a calendar quarter. The response to Line 2 should be filed within 60 calendar days after such date, rather than with the remainder of this report. This information may be required on a more frequent basis by the Commission or the designated examining authority in accordance with Rule 17a-5(a)(2)(iv).

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

CREDIT BALANCES

1. Free credit balances and other credit balances in PAB security accounts (see Note A)	$ 7,642,651	2110		
2. Monies borrowed collateralized by securities carried for the accounts of PAB (see Note B)	$	2120		
3. Monies payable against PAB securities loaned (see Note C)	$	2130		
4. PAB securities failed to receive (see Note D)	$ 469,089	2140		
5. Credit balances in firm accounts which are attributable to principal sales to PAB	$ 3,956,218	2150		
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	$	2152		
7. ** Market value of short security count differences over 30 calendar days old	$	2154		
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	$	2156		
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	$	2158		
10. Other (List: ____)	$	2160		
11. TOTAL PAB CREDITS (sum of Lines 1-10)			$ 12,067,958	2170

DEBIT BALANCES

12. Debit balances in PAB cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (see Note E)	$ 576,583	2180		
13. Securities borrowed to effectuate short sales by PAB and securities borrowed to make delivery on PAB securities failed to deliver	$ 945,113	2190		
14. Failed to deliver of PAB securities not older than 30 calendar days	$ 110,267	2200		
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in PAB accounts (see Note F)	$	2210		
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in PAB accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	$	2215		
17. Other (List)	$	2220		
18. TOTAL PAB DEBITS (sum of Lines 12-17)			$ 1,631,963	2230

RESERVE COMPUTATION

19. Excess of total PAB debits over total PAB credits (line 18 less line 11)	$ 0	2240
20. Excess of total PAB credits over total PAB debits (line 11 less line 18)	$ 10,435,995	2250
21. Excess debits in customer reserve formula computation	$ 0	2260
22. PAB Reserve Requirement (line 20 less line 21)	$ 10,435,995	2270
23. Amount held on deposit in "Reserve Bank Account(s)", including $ 0 [2275] value of qualified securities, at end of reporting period	$ 19,500,000	2280
24. Amount of deposit (or withdrawal) including $ 0 [2285] value of qualified securities	$ (1,800,000)	2290
25. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including $ 0 [2295] value of qualified securities	$ 17,700,000	2300
26. Date of deposit (MMDDYY)	06/04/24	2310

FREQUENCY OF COMPUTATION

27. Daily ____ [2315] Weekly X [2320] Monthly ____ [2330]

* See Notes regarding the PAB Reserve Bank Account Computation (Notes 1-10).

** In the event the net capital requirement is computed under the alternative method, this reserve formula shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

Items on this page to be reported by a:

Stand-Alone Broker-Dealer (if claiming an exemption from Rule 15c3-3)
Broker-Dealer SBSD (if claiming an exemption from Rule 15c3-3)
Broker-Dealer MSBSP (if claiming an exemption from Rule 15c3-3)

EXEMPTIVE PROVISION UNDER RULE 15c3-3

If an exemption from Rule 15c3-3 is claimed, identify below the section upon which such exemption is based (check all that apply):

A. (k) (1) – Limited business (mutual funds and/or variable annuities only) . . . . ______ 4550

B. (k) (2)(i) – "Special Account for the Exclusive Benefit of Customers" maintained . . . . ______ 4560

C. (k) (2)(ii) - All customer transactions cleared through another broker-dealer on a fully disclosed basis.

Name(s) of Clearing Firm(s):

______ 4335 ______ 4570

D. (k) (3) - Exempted by order of the Commission (include copy of letter) . . . . ______ 4580

FOCUS Report Part II	COMPUTATION FOR DETERMINATION OF SECURITY-BASED SWAP CUSTOMER RESERVE REQUIREMENTS	2024-07-24 03:29PM EDT Status: Accepted Amendment: 1 of 1
	Items on this page to be reported by a: Stand-Alone Broker-Dealer Stand-Alone SBSD Broker-Dealer SBSD	

CREDIT BALANCES

1. Free credit balances and other credit balances in the accounts carried for security-based swap customers (see Note A)	$	12069		
2. Monies borrowed collateralized by securities in accounts carried for security-based swap customers (see Note B)	$	12070		
3. Monies payable against security-based swap customers' securities loaned (see Note C)	$	12071		
4. Security-based swap customers' securities failed to receive (see Note D)	$	12072		
5. Credit balances in firm accounts attributable to principal sales to security-based swap customers	$	12073		
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	$	12074		
7. ** Market value of short security count differences over 30 calendar days old	$	12075		
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	$	12076		
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	$	12077		
10. Other (List)	$	12078		
11. TOTAL CREDITS (sum of Lines 1-10)			$	12089

DEBIT BALANCES

12. Debit balances in accounts carried for security-based swap customers, excluding unsecured accounts and accounts doubtful of collection (see Note E)	$	12079		
13. Securities borrowed to effectuate short sales by security-based swap customers and securities borrowed to make delivery on security-based swap customers' securities failed to deliver	$	12080		
14. Failed to deliver of security-based swap customers' securities not older than 30 calendar days	$	12081		
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in accounts carried for security-based swap customers(see Note F)	$	12082		
16. Margin related to security future products written, purchased or sold in accounts carried for security- based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission under section 17A of the Exchange Act(15 U.S.C. 78q-1) or a derivative clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) (see Note G) .	$	12083		
17. Margin related to cleared security-based swap transactions in accounts carried for security-based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission pursuant to section 17A of the Exchange Act (15 U.S.C. 78q-1)	$	12084		
18. Margin related to non-cleared security-based swap transactions in accounts carried for security-based swap customers required and held in a qualified registered security-based swap dealer account at another security-based swap dealer	$	12085		
19. Other (List)	$	12086		
20. **Aggregate debit items			$	12090
21. **TOTAL DEBITS (sum of Lines 12-19)			$	12091

RESERVE COMPUTATION

22. Excess of total debits over total credits (Line 21 less Line 11)	$	12092
23. Excess of total credits over total debits (Line 11 less Line 21)	$	12093
24. Amount held on deposit in "Reserve Account(s)," including value of qualified securities, at end of reporting period	$	12094
25. Amount of deposit(or withdrawal) including $ ____ 12087 value of qualified securities	$	12095
26. New amount in Reserve Account(s) after adding deposit or subtracting withdrawal including $ ____ 12088 value of qualified securities	$	12096
27. Date of deposit (MMDDYY)	$	12097

** In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-3b or 17 CFR 240.18a-4a, as applicable.

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

POSSESSION OR CONTROL FOR SECURITY-BASED SWAP CUSTOMERS

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD

State the market valuation and the number of items of:

1. Security-based swap customers' excess securities collateral not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3(p) or Rule 18a-4, as applicable. Notes A and B . . . . $ ________ 12098
   A. Number of items . . . . ________ 12099
2. Security-based swap customers' excess securities collateral for which instructions to reduce possession or control had not been issued as of the report date under Rule 15c3-3(p) or Rule 18a-4, as applicable . . . $ ________ 12100
   A. Number of items . . . . ________ 12101
3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of security-based swap customers' excess securities collateral have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3(p) or Rule 18a-4, as applicable . . . . Yes ________ 12102 No ________ 12103

Notes:

A -- Do not include in Line 1 security-based swap customers' excess securities collateral required to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the required time frames.

B -- State separately in response to Line 1 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

FOCUS Report Part II

Items on this page to be reported by a: Stand-Alone SBSD (if claiming an exemption from Rule 18a-4)
SBSD registered as an OTC Derivatives Dealer (if claiming an exemption from Rule 18a-4)

EXEMPTION FROM RULE 18a-4

If an exemption from Rule 18a-4 is claimed, check the box . . . . [ ] 12104

Name of Firm: ____________________

As of: __________

NET CAPITAL REQUIRED

A. Risk-Based Requirement

i. Amount of Customer Risk Maintenance Margin	$	[7415]		
ii. Enter 8% of line A.i			$	[7425]
iii. Amount of Non-Customer Risk Maintenance Margin	$ 74,600	[7435]		
iv. Enter 8% of line A.iii			$ 5,968	[7445]
v. Amount of uncleared swap margin	$	[7446]		
vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v			$	[7447]
vii. Enter the sum of Lines Aii, A.iv and A.vi.			$ 5,968	[7455]
B. Minimum Dollar Amount Requirement			$ 1,000,000	[7465]
C. Other NFA Requirement			$	[7475]

D. Minimum CFTC Net Capital Requirement.
Enter the greatest of lines A.vii., B or C . . . $ 1,000,000 [7490]

Note: If amount on Line D is greater than the minimum net capital requirement computed on Item 3760, then enter this greater amount on Item 3760.
The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level – enter the greatest of 110% of Line A.vii. or 150% of Line B or 150% of Line C . . . $ 1,500,000 [7495]

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance			
A. Cash		$	7010
B. Securities (at market)		$	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		$	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		$	7032
B. Deduct market value of open option contracts granted (sold) on a contract market		$( )	7033
4. Net equity (deficit) (total of Lines 1, 2, and 3)		$	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	$ 7045		
Less: amount offset by customer owned securities	$( ) 7047	$	7050
6. Amount required to be segregated (add lines 4 and 5)		$	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash	$ 5,295,510	7070
B. Securities representing investments of customers' funds (at market)	$	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	$	7100
B. Securities representing investments of customers' funds (at market)	$	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets	$	7130
10. Exchange traded options		
A. Value of open long option contracts	$	7132
B. Value of open short option contracts	$( )	7133
11. Net equities with other FCMs		
A. Net liquidating equity	$	7140
B. Securities representing investments of customers' funds (at market)	$	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)	$	7170
12. Segregated funds on hand (describe:)	$	7150
13. Total amount in segregation (add lines 7 through 12)	$ 5,295,510	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	$ 5,295,510	7190
15. Management Target Amount for Excess funds in segregation	$ 3,000,000	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	$ 2,295,510	7198

FOCUS Report Part II

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER SECTION 4D(F) OF THE COMMODITY EXCHANGE ACT

Items on this page to be reported by: Futures Commission Merchant

CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance
   A. Cash $ ______ 8500
   B. Securities (at market) $ ______ 8510
2. Net unrealized profit (loss) in open cleared swaps $ ______ 8520
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased $ ______ 8530
   B. Market value of open cleared swaps option contracts granted (sold) $ (______) 8540
4. Net equity (deficit) (add lines 1, 2 and 3) $ ______ 8550
5. Accounts liquidating to a deficit and accounts with debit balances
   - gross amount $ ______ 8560
   Less: amount offset by customer owned securities $ (______) 8570 $ ______ 8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5) $ ______ 8590

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash $ ______ 8600
   B. Securities representing investment of cleared swaps customers' funds (at market) $ ______ 8610
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) $ ______ 8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash $ ______ 8630
   B. Securities representing investment of cleared swaps customers' funds (at market) $ ______ 8640
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) $ ______ 8650
9. Net settlement from (to) derivatives clearing organizations $ ______ 8660
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts $ ______ 8670
    B. Value of open cleared swaps short option contracts $ (______) 8680
11. Net equities with other FCMs
    A. Net liquidating equity $ ______ 8690
    B. Securities representing investment of cleared swaps customers' funds (at market) $ ______ 8700
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) $ ______ 8710
12. Cleared swaps customer funds on hand (describe: ______) $ ______ 8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12) $ ______ 8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13) $ ______ 8730
15. Management target amount for excess funds in cleared swaps segregated accounts $ ______ 8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess $ ______ 8770

Name of Firm: CANTOR FITZGERALD & CO.
As of: 05/31/24

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by a: Futures Commission Merchant

1. Amount required to be segregated in accordance with 17 CFR 32.6			$	7200
2. Funds/property in segregated accounts				
A. Cash	$	7210		
B. Securities (at market value)	$	7220		
C. Total funds/property in segregated accounts			$	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)			$	7240

Name of Firm: CANTOR FITZGERALD & CO.
As of: 05/31/24

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by a: Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			$	7305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers				
A. Cash			$	7315
B. Securities (at market)			$	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			$	7325
3. Exchange traded options				
A. Market value of open option contracts purchased on a foreign board of trade			$	7335
B. Market value of open contracts granted (sold) on a foreign board of trade			$	7337
4. Net equity (deficit)(add lines 1. 2. and 3.)			$	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	$	7351		
Less: amount offset by customer owned securities	$( )	7352	$	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)			$	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.			$	7360

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by: Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States		$	7500	
B. Other banks qualified under 17 CFR. 30.7				
Name(s):	7510	$	7520	$ 7530
2. Securities				
A. In safekeeping with banks located in the United States		$	7540	
B. In safekeeping with other banks designated by 17 CFR. 30.7				
Name(s):	7550	$	7560	$ 7570
3. Equities with registered futures commission merchants				
A. Cash		$	7580	
B. Securities		$	7590	
C. Unrealized gain (loss) on open futures contracts		$	7600	
D. Value of long option contracts		$	7610	
E. Value of short option contracts		$( )	7615	$ 7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s):	7630			
A. Cash		$	7640	
B. Securities			7650	
C. Amount due to (from) clearing organizations - daily variation		$	7660	
D. Value of long option contracts		$	7670	
E. Value of short option contracts		$( )	7675	$ 7680
5. Amounts held by members of foreign boards of trade				
Name(s):	7690			
A. Cash		$	7700	
B. Securities		$	7710	
C. Unrealized gain (loss) on open futures contracts		$	7720	
D. Value of long option contracts		$	7730	
E. Value of short option contracts		$( )	7735	$ 7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s):	7750			$ 7760
7. Segregated funds on hand (describe:)				$ 7765
8. Total funds in separate 17 CFR 30.7 accounts				$ 7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				$ 7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts				$ 7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess				$ 7785

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

FOCUS Report Part II Schedule 1

Items on this page to be reported by: Stand-Alone Broker-Dealer
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

Aggregate Securities, Commodities, and Swaps Positions	LONG/BOUGHT		SHORT/SOLD	
1. U.S. treasury securities	$ 758,177,626	8200	$ 943,607,304	8201
2. U.S. government agency and U.S. government-sponsored enterprises	$ 3,686,986,963	8210	$ 9,738,635	8211
A. Mortgage-backed securities issued by U.S. government agency and U.S. government-sponsored enterprises	$ 3,467,885,465	18001	$	18002
B. Debt securities issued by U.S. government agency and U.S. government-sponsored enterprises	$ 219,101,498	18003	$ 9,738,635	18004
3. Securities issued by states and political subdivisions in the U.S	$ 46,484,438	8220	$	8221
4. Foreign securities:				
A. Debt securities	$ 9,300,241	8230	$ 5,089,728	8231
B. Equity securities	$ 4,505,887	8235	$ 1,031,074	8236
5. Money Market Instruments	$ 47,000,000	8240	$	8241
6. Private Label Mortgage Backed Securities	$ 290,433,891	8250	$	8251
7. Other asset-backed securities	$ 43,280,012	8260	$	8261
8. Corporate obligations	$ 11,406,748	8270	$ 22,178,980	8271
9. Stocks and warrants (other than arbitrage positions)	$ 43,584,559	8280	$ 33,475,547	8281
10. Arbitrage	$	8290	$	8291
11. Spot commodities	$	8330	$	8331
12. Other securities and commodities	$	8360	$	8361
13. Securities with no ready market				
A. Equity	$ 28,051,157	8340	$	8341
B. Debt	$ 20,259,604	8345	$	8346
C. Other	$	8350	$	8351
D. Total securities with no ready market	$ 48,310,761	12777	$	12782
14. Total net securities and spot commodities (sum of Lines 1-12 and 13D)	$ 4,989,471,126	12778	$ 1,015,121,268	12783
15. Security-based swaps				
A. Cleared	$	12106	$	12114
B. Non-cleared	$	12107	$	12115
16. Mixed swaps				
A. Cleared	$	12108	$	12116
B. Non-cleared	$	12109	$	12117
17. Swaps				
A. Cleared	$	12110	$	12118
B. Non-cleared	$	12111	$	12119
18. Other derivatives and options	$ 17,185,156	8295	$ 21,520,960	8296
19. Counterparty netting	$ 0	12779	$ 0	12784
20. Cash collateral netting	$ 0	12780	$ 0	12785
21. Total derivative receivables and payables (sum of Lines 15-20)	$ 17,185,156	12781	$ 21,520,960	12786
22. Total net securities, commodities, and swaps positions (sum of Lines 14 and 21)	$ 5,006,656,282	8370	$ 1,036,642,228	8371

Name of Firm: CANTOR FITZGERALD & CO.

As of: 05/31/24

SCHEDULE 2 – CREDIT CONCENTRATION REPORT FOR FIFTEEN LARGEST EXPOSURES IN DERIVATIVES

2024-07-24 03:29PM EDT
Status: Accepted
Amendment: 1 of 1

Items on this page to be reported by: Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

I. By Current Net Exposure

Counterparty Identifier	Gross Replacement Value Receivable (Gross Gain)	Gross Replacement Value Payable (Gross Loss)	Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
1. 12120	$ 12135	$ 12151	$ 12167	$ 12183	$ 12199	$ 12215
2. 12121	$ 12136	$ 12152	$ 12168	$ 12184	$ 12200	$ 12216
3. 12122	$ 12137	$ 12153	$ 12169	$ 12185	$ 12201	$ 12217
4. 12123	$ 12138	$ 12154	$ 12170	$ 12186	$ 12202	$ 12218
5. 12124	$ 12139	$ 12155	$ 12171	$ 12187	$ 12203	$ 12219
6. 12125	$ 12140	$ 12156	$ 12172	$ 12188	$ 12204	$ 12220
7. 12126	$ 12141	$ 12157	$ 12173	$ 12189	$ 12205	$ 12221
8. 12127	$ 12142	$ 12158	$ 12174	$ 12190	$ 12206	$ 12222
9. 12128	$ 12143	$ 12159	$ 12175	$ 12191	$ 12207	$ 12223
10. 12129	$ 12144	$ 12160	$ 12176	$ 12192	$ 12208	$ 12224
11. 12130	$ 12145	$ 12161	$ 12177	$ 12193	$ 12209	$ 12225
12. 12131	$ 12146	$ 12162	$ 12178	$ 12194	$ 12210	$ 12226
13 12132	$ 12147	$ 12163	$ 12179	$ 12195	$ 12211	$ 12227
14. 12133	$ 12148	$ 12164	$ 12180	$ 12196	$ 12212	$ 12228
15. 12134	$ 12149	$ 12165	$ 12181	$ 12197	$ 12213	$ 12229
All other counterparties	$ 12150	$ 12166	$ 12182	$ 12198	$ 12214	$ 12230
Totals:	$ 7810	$ 7811	$ 7812	$ 7813	$ 7814	$ 12231

II. By Current Net and Potential Exposure

Counterparty Identifier	Gross Replacement Value Receivable (Gross Gain)	Gross Replacement Value Payable (Gross Loss)	Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
1. 12232	$ 12247	$ 12264	$ 12281	$ 12298	$ 12315	$ 12332
2. 12233	$ 12248	$ 12265	$ 12282	$ 12299	$ 12316	$ 12333
3. 12234	$ 12249	$ 12266	$ 12283	$ 12300	$ 12317	$ 12334
4. 12235	$ 12250	$ 12267	$ 12284	$ 12301	$ 12318	$ 12335
5. 12236	$ 12251	$ 12268	$ 12285	$ 12302	$ 12319	$ 12336
6. 12237	$ 12252	$ 12269	$ 12286	$ 12303	$ 12320	$ 12337
7. 12238	$ 12253	$ 12270	$ 12287	$ 12304	$ 12321	$ 12338
8. 12239	$ 12254	$ 12271	$ 12288	$ 12305	$ 12322	$ 12339
9. 12240	$ 12255	$ 12272	$ 12289	$ 12306	$ 12323	$ 12340
10. 12241	$ 12256	$ 12273	$ 12290	$ 12307	$ 12324	$ 12341
11. 12242	$ 12257	$ 12274	$ 12291	$ 12308	$ 12325	$ 12342
12. 12243	$ 12258	$ 12275	$ 12292	$ 12309	$ 12326	$ 12343
13. 12244	$ 12259	$ 12276	$ 12293	$ 12310	$ 12327	$ 12344
14. 12245	$ 12260	$ 12277	$ 12294	$ 12311	$ 12328	$ 12345
15. 12246	$ 12261	$ 12278	$ 12295	$ 12312	$ 12329	$ 12346
All other counterparties	$ 12262	$ 12279	$ 12296	$ 12313	$ 12330	$ 12347
Totals:	$ 12263	$ 12280	$ 12297	$ 12314	$ 12331	$ 12348

Name of Firm: ______________________

As of: ____________

FOCUS Report Part II Schedule 3

SCHEDULE 3 – PORTFOLIO SUMMARY OF DERIVATIVES EXPOSURES BY INTERNAL CREDIT RATING

Items on this page to be reported by: Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

Internal Credit Rating	Gross Replacement Value Receivable	Gross Replacement Value Payable	Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
1. ____ 12349	$ ____ 12386	$ ____ 12423	$ ____ 12460	$ ____ 12497	$ ____ 12534	$ ____ 12572
2. ____ 12350	$ ____ 12387	$ ____ 12424	$ ____ 12461	$ ____ 12498	$ ____ 12535	$ ____ 12573
3. ____ 12351	$ ____ 12388	$ ____ 12425	$ ____ 12462	$ ____ 12499	$ ____ 12536	$ ____ 12574
4. ____ 12352	$ ____ 12389	$ ____ 12426	$ ____ 12463	$ ____ 12500	$ ____ 12537	$ ____ 12575
5. ____ 12353	$ ____ 12390	$ ____ 12427	$ ____ 12464	$ ____ 12501	$ ____ 12538	$ ____ 12576
6. ____ 12354	$ ____ 12391	$ ____ 12428	$ ____ 12465	$ ____ 12502	$ ____ 12539	$ ____ 12577
7. ____ 12355	$ ____ 12392	$ ____ 12429	$ ____ 12466	$ ____ 12503	$ ____ 12540	$ ____ 12578
8. ____ 12356	$ ____ 12393	$ ____ 12430	$ ____ 12467	$ ____ 12504	$ ____ 12541	$ ____ 12579
9. ____ 12357	$ ____ 12394	$ ____ 12431	$ ____ 12468	$ ____ 12505	$ ____ 12542	$ ____ 12580
10. ____ 12358	$ ____ 12395	$ ____ 12432	$ ____ 12469	$ ____ 12506	$ ____ 12543	$ ____ 12581
11. ____ 12359	$ ____ 12396	$ ____ 12433	$ ____ 12470	$ ____ 12507	$ ____ 12544	$ ____ 12582
12. ____ 12360	$ ____ 12397	$ ____ 12434	$ ____ 12471	$ ____ 12508	$ ____ 12545	$ ____ 12583
13 ____ 12361	$ ____ 12398	$ ____ 12435	$ ____ 12472	$ ____ 12509	$ ____ 12546	$ ____ 12584
14. ____ 12362	$ ____ 12399	$ ____ 12436	$ ____ 12473	$ ____ 12510	$ ____ 12547	$ ____ 12585
15. ____ 12363	$ ____ 12400	$ ____ 12437	$ ____ 12474	$ ____ 12511	$ ____ 12548	$ ____ 12586
16. ____ 12364	$ ____ 12401	$ ____ 12438	$ ____ 12475	$ ____ 12512	$ ____ 12549	$ ____ 12587
17. ____ 12365	$ ____ 12402	$ ____ 12439	$ ____ 12476	$ ____ 12513	$ ____ 12550	$ ____ 12588
18. ____ 12366	$ ____ 12403	$ ____ 12440	$ ____ 12477	$ ____ 12514	$ ____ 12551	$ ____ 12589
19. ____ 12367	$ ____ 12404	$ ____ 12441	$ ____ 12478	$ ____ 12515	$ ____ 12552	$ ____ 12590
20. ____ 12368	$ ____ 12405	$ ____ 12442	$ ____ 12479	$ ____ 12516	$ ____ 12553	$ ____ 12591
21. ____ 12369	$ ____ 12406	$ ____ 12443	$ ____ 12480	$ ____ 12517	$ ____ 12554	$ ____ 12592
22. ____ 12370	$ ____ 12407	$ ____ 12444	$ ____ 12481	$ ____ 12518	$ ____ 12555	$ ____ 12593
23. ____ 12371	$ ____ 12408	$ ____ 12445	$ ____ 12482	$ ____ 12519	$ ____ 12556	$ ____ 12594
24. ____ 12372	$ ____ 12409	$ ____ 12446	$ ____ 12483	$ ____ 12520	$ ____ 12557	$ ____ 12595
25. ____ 12373	$ ____ 12410	$ ____ 12447	$ ____ 12484	$ ____ 12521	$ ____ 12558	$ ____ 12596
26. ____ 12374	$ ____ 12411	$ ____ 12448	$ ____ 12485	$ ____ 12522	$ ____ 12559	$ ____ 12597
27. ____ 12375	$ ____ 12412	$ ____ 12449	$ ____ 12486	$ ____ 12523	$ ____ 12560	$ ____ 12598
28. ____ 12376	$ ____ 12413	$ ____ 12450	$ ____ 12487	$ ____ 12524	$ ____ 12561	$ ____ 12599
29. ____ 12377	$ ____ 12414	$ ____ 12451	$ ____ 12488	$ ____ 12525	$ ____ 12562	$ ____ 12600
30. ____ 12378	$ ____ 12415	$ ____ 12452	$ ____ 12489	$ ____ 12526	$ ____ 12563	$ ____ 12601
31. ____ 12379	$ ____ 12416	$ ____ 12453	$ ____ 12490	$ ____ 12527	$ ____ 12564	$ ____ 12602
32. ____ 12380	$ ____ 12417	$ ____ 12454	$ ____ 12491	$ ____ 12528	$ ____ 12565	$ ____ 12603
33. ____ 12381	$ ____ 12418	$ ____ 12455	$ ____ 12492	$ ____ 12529	$ ____ 12566	$ ____ 12604
34. ____ 12382	$ ____ 12419	$ ____ 12456	$ ____ 12493	$ ____ 12530	$ ____ 12567	$ ____ 12605
35. ____ 12383	$ ____ 12420	$ ____ 12457	$ ____ 12494	$ ____ 12531	$ ____ 12568	$ ____ 12606
36. ____ 12384	$ ____ 12421	$ ____ 12458	$ ____ 12495	$ ____ 12532	$ ____ 12569	$ ____ 12607
Unrated ____ 12385	$ ____ 12422	$ ____ 12459	$ ____ 12496	$ ____ 12533	$ ____ 12570	$ ____ 12608
Totals	$ ____ 7822	$ ____ 7823	$ ____ 7821	$ ____ 7820	$ ____ 12571	$ ____ 12609

Name of Firm: ____________________

As of: __________

FOCUS Report
Part II
Schedule 4

SCHEDULE 4 – GEOGRAPHIC DISTRIBUTION OF DERIVATIVES EXPOSURES
FOR TEN LARGEST COUNTRIES

Items on this page to be reported by: Stand-Alone Broker-Dealer (Authorized to use models)
Stand-Alone SBSD
Broker-Dealer SBSD
Stand-Alone MSBSP
Broker-Dealer MSBSP

I. By Current Net Exposure

	Country	Gross Replacement Value Receivable	Gross Replacement Value Payable	Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
1.	12610	$ 12620	$ 12630	$ 12640	$ 12650	$ 12661	$ 12671
2.	12611	$ 12621	$ 12631	$ 12641	$ 12651	$ 12662	$ 12672
3.	12612	$ 12622	$ 12632	$ 12642	$ 12652	$ 12663	$ 12673
4.	12613	$ 12623	$ 12633	$ 12643	$ 12653	$ 12664	$ 12674
5.	12614	$ 12624	$ 12634	$ 12644	$ 12654	$ 12665	$ 12675
6.	12615	$ 12625	$ 12635	$ 12645	$ 12655	$ 12666	$ 12676
7.	12616	$ 12626	$ 12636	$ 12646	$ 12656	$ 12667	$ 12677
8.	12617	$ 12627	$ 12637	$ 12647	$ 12657	$ 12668	$ 12678
9.	12618	$ 12628	$ 12638	$ 12648	$ 12658	$ 12669	$ 12679
10.	12619	$ 12629	$ 12639	$ 12649	$ 12659	$ 12670	$ 12680
Totals		$ 7803	$ 7804	$ 7802	$ 12660	$ 7801	$ 12681

II. By Current Net and Potential Exposure

	Country	Gross Replacement Value Receivable	Gross Replacement Value Payable	Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure	Margin Collected
1.	12682	$ 12692	$ 12703	$ 12714	$ 12725	$ 12736	$ 12747
2.	12683	$ 12693	$ 12704	$ 12715	$ 12726	$ 12737	$ 12748
3.	12684	$ 12694	$ 12705	$ 12716	$ 12727	$ 12738	$ 12749
4.	12685	$ 12695	$ 12706	$ 12717	$ 12728	$ 12739	$ 12750
5.	12686	$ 12696	$ 12707	$ 12718	$ 12729	$ 12740	$ 12751
6.	12687	$ 12697	$ 12708	$ 12719	$ 12730	$ 12741	$ 12752
7.	12688	$ 12698	$ 12709	$ 12720	$ 12731	$ 12742	$ 12753
8.	12689	$ 12699	$ 12710	$ 12721	$ 12732	$ 12743	$ 12754
9.	12690	$ 12700	$ 12711	$ 12722	$ 12733	$ 12744	$ 12755
10.	12691	$ 12701	$ 12712	$ 12723	$ 12734	$ 12745	$ 12756
Totals		$ 12702	$ 12713	$ 12724	$ 12735	$ 12746	$ 12757

Name of Firm: ____________________

As of: ____________